# On the characterization of approximation spaces in Nonlinear Approximation

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#### FoCM 2014 Conference Montevideo - December, 2014

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- 6. General scheme for construction of bases and frames
- 7. Construction of holomorphic rational bases on the unit disk with application to rational approximation
- 8. Nonlinear *n*-term approximation from frames

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# Characterize the rate of approximation (Approximation spaces) in various settings in Nonlinear Approximation

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# Nonlinear *n*-term approximation from orthonormal bases in Hilbert spaces

Suppose *H* is a separable Hilbert space and  $\{\psi_m\}_{m\geq 1}$  is an orthonormal basis for *H*.

Denote by  $\Omega_n$  is the set of all functions g of the form

$$g=\sum_{\nu=1}^n a_\nu\psi_{m_\nu},$$

Let

$$\sigma_n(f):=\inf_{g\in\Omega_n}\|f-g\|_{H}.$$

"Besov" spaces. Let s > 0 and  $1/\tau := s + 1/2$ . The Besov space  $B_{\tau}^s$  is defined as the set of all  $f \in H$  s.t.

$$\|f\|_{B^{\mathbf{s}}_{\tau}} := \Big(\sum_{m=1}^{\infty} |\langle f, \psi_m \rangle|^{\tau}\Big)^{1/\tau} < \infty.$$

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# Nonlinear *n*-term approximation from bases (Cont.)

#### **Jackson estimate:** If $f \in B^s_{\tau}$ , then

$$\sigma_n(f) \leq n^{-s} \|f\|_{B^s_\tau}, \quad n \geq 1.$$

**Proof.** Given  $f \in H$  let  $|\langle f, \psi_{m_1} \rangle| \ge |\langle f, \psi_{m_2} \rangle| \ge \cdots$ Then

$$\sigma_n(f) = \left\| f - \sum_{\nu=1}^n \langle f, \psi_{m_\nu} \rangle \psi_{m_\nu} \right\|_H = \left( \sum_{\nu=n+1}^\infty |\langle f, \psi_{m_\nu} \rangle|^2 \right)^{1/2} \le n^{-s} \|f\|_{B^s_\tau}.$$

**Bernstein estimate:** If  $g \in \Omega_n$ ,  $n \ge 1$ , then

$$\|g\|_{B^s_\tau} \le n^s \|g\|_H.$$

These estimates allow to characterize the rates of nonlinear n-term approximation from  $\{\psi_m\}$  in *H*.

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# Approximation spaces

Approximation spaces:  $||f||_{A_a^s(H)} := ||f||_H + |f|_{A_a^s(H)}$ , where

$$|f|_{A_q^s(H)} := \begin{cases} \left(\sum_{n=1}^{\infty} [n^s \sigma_n(f)]^q \frac{1}{n}\right)^{1/q}, & 0 < q < \infty \\ \sup_{n \ge 1} n^s \sigma_n(f), & q = \infty. \end{cases}$$

*K*-functional: Suppose  $Y \hookrightarrow X$ . Then the *K*-functional for  $f \in X$  is defined by

$$K(f,t) := \inf_{g \in Y} \{ \|f - g\|_X + t |g|_Y \}, \quad t \ge 0.$$

**Interpolation spaces:** For  $0 < q \le \infty$  and  $0 < \theta < 1$ , the interpolation space  $(X, Y)_{\theta,q}$  consists of all  $f \in X$  for which

$$|f|_{(X,Y)_{ heta,q}} := egin{cases} \left( \int_0^\infty [t^{- heta} \mathcal{K}(f,t)]^q rac{dt}{t} 
ight)^{1/q}, & 0 < q < \infty \ \sup_{0 \le t < \infty} t^{- heta} \mathcal{K}(f,t), & q = \infty. \end{cases}$$

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**Norm:**  $\|\cdot\|_{(X,Y)_{\theta,q}} := \|\cdot\|_X + |\cdot|_{(X,Y)_{\theta,q}}.$ 

Claim: The Jackson inequality implies

 $\sigma_n(f) \leq cK(f, n^{-s}), \quad f \in H, \quad K(f, t) := K(f, t; H, B^s_{\tau})$ 

**Claim:** The Bernstein inequality implies (if  $\tau \leq 1$ )

$$K(f, 2^{-ms}) \leq c 2^{-ms} \left( \sum_{j=0}^{m} [2^{js} \sigma_{2^j}(f)]^{\tau} + \|f\|_H^{\tau} \right)^{1/\tau}, \quad f \in H, \ m \geq 0.$$

**Characterization:**  $A_q^{\gamma}(H) = (H, B_{\tau}^s)_{\gamma/s,q}, \ 0 < \gamma < s, \ 0 < q \le \infty$ , with equivalent norms.

In particular,  $\sigma_n(f) = O(n^{-\gamma})$  iff  $K(f, t) = O(t^{\gamma/s})$ ,  $0 < \gamma < s$ .

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# Nonlinear Spline Approximation in dimension d = 1

Nonlinear approximation from **piecewise poynomials** in  $L^{p}(\mathbb{R})$  or  $L^{p}(a, b)$ ,  $1 \leq p < \infty$  (Free knot spline approximation) Denote by S(k, n),  $k \geq 1$ , the set of all functions S of the form

$$S=\sum_{\nu=1}^n P_{\nu}\mathbb{1}_{I_{\nu}},$$

where  $P_{\nu}$  is a polynomial of degree  $\leq k - 1$  and  $\mathbb{1}_{I_{\nu}}$  is the characteristic function of the compact interval  $I_{\nu}$ . Assume that  $\{I_{\nu}\}$  have disjoint interiors. Denote

$$\mathcal{S}_n^k(f)_{\mathcal{P}} := \inf_{\mathcal{S}\in\mathcal{S}(k,n)} \|f-\mathcal{S}\|_{L^p}.$$

The goal is to characterize the associated approximation spaces.

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## Nonlinear Spline Approximation in dimension d = 1

Let 
$$s > 0$$
,  $1 \le p < \infty$ , and  $1/\tau = s + 1/p$ .  
Besov space:

$$|f|_{\mathcal{B}^{\mathbf{s},k}_{\tau}} := \left(\int_0^\infty \left(t^{-s}\omega_k(f,t)_{\tau}\right)^{\tau} \frac{dt}{t}\right)^{1/\tau}, \quad \omega_k(f,t)_{\tau} := \sup_{|h| \le t} \|\Delta_h^k f(\cdot)\|_{L^{\tau}(\Omega)}$$

**Jackson estimate:** If  $f \in B^s_{\tau}$ , s > 0, then

$$S_n^k(f)_p \leq cn^{-s}|f|_{B^{s,k}_{ au}}.$$

**Bernstein estimate:** If  $S \in S(k, n)$ , s > 0, then

$$\|S\|_{B^{s,k}_{ au}} \leq cn^s \|S\|_{L^p}.$$

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# Nonlinear Spline Approximation in dimension d = 1

**Approximation spaces:**  $||f||_{A_q^s(L^p)} := ||f||_{L^p} + |f|_{A_q^s(L^p)}$ , where

$$|f|_{\mathcal{A}_q^s(L^p)} := egin{cases} \left( \sum_{n=1}^\infty [n^s \mathcal{S}_n^k(f)_
ho]^q rac{1}{n} 
ight)^{1/q}, & 0 < q < \infty, \ \sup_{n \geq 1} n^lpha \mathcal{S}_n^k(f)_
ho, & q = \infty. \end{cases}$$

Characterization:  $A_q^{\gamma}(L^p) = (L^p, B_{\tau}^{s,k})_{\gamma/s,q}, \ 0 < \gamma < s, \ 0 < q \leq \infty.$ 

In particular: For  $f \in L^p$  we have

 $S_n^k(f)_p = O(n^{-\gamma})$  iff  $K(f,t) = O(t^{\gamma/s}), \ 0 < \gamma < s.$ 

Here  $K(f, t) := \inf_{g \in B^{s,k}_{\tau}} \left\{ \|f - g\|_{L^p} + t |g|_{B^{s,k}_{\tau}} \right\}.$ 

Nonlinear Spline Approximation in dimensions d = 2 over **multilevel nested triangulations.** 

Besov type spaces are introduced and companien Jackson and Bernstein estimates are established, which allow to characterize the associated approximation spaces.

B. Karaivanov, PP, Nonlinear piecewise polynomial approximation beyond Besov spaces. Appl. Comput. Harmon. Anal. 15 (2003), no. 3, 177-223

O. Davydov, PP, Nonlinear approximation from differentiable piecewise polynomials. SIAM J. Math. Anal. 35 (2003), no. 3, 708-758.

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# Nonlinear Spline Approximation in dimension $d \ge 2$

Nonlinear approximation from **piecewise constants** on a polygonal domain  $\Omega \subset \mathbb{R}^2$  or  $\Omega = \mathbb{R}^2$  (the nonnested case). Denote by  $\Sigma_n$  the set of all functions *S* of the form

$$S=\sum_{\nu=1}^n a_{\nu}\mathbb{1}_{R_{\nu}},$$

where  $R_{\nu} = Q_{\nu} \setminus \tilde{Q}_{\nu}$ ,  $\tilde{Q}_{\nu} \subset Q_{\nu}$ ,  $Q_{\nu}$ ,  $\tilde{Q}_{\nu}$  are convex isotropic polygonal subdomains ( $\tilde{Q}_{\nu} = \emptyset$  is Okay). We assume that  $\{R_{\nu}\}$  are with disjoint interiors and no "thin" rings  $R_{\nu}$  are allowed.

Example.  $Q_{\nu}$ ,  $\tilde{Q}_{\nu}$  can be rectangles with sides parallel to the coordinate axes (or triangles), but no "thin" rectangles or rings are allowed.

Denote

$$\sigma_n(f)_p := \inf_{S \in \Sigma_n} \|f - S\|_{L^p(\Omega)}.$$

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## Nonlinear piecewise constant approximation $(d \ge 2)$

Let 
$$s > 0$$
,  $1 \le p < \infty$ , and  $1/\tau = s/2 + 1/p$ .  
Besov space:

$$|f|_{\mathcal{B}^{s}_{\tau}} := \left(\int_{0}^{\infty} \left(t^{-s}\omega(f,t)_{\tau}\right)^{\tau} \frac{dt}{t}\right)^{1/\tau}, \quad \omega(f,t)_{\tau} := \sup_{|h| \leq t} \|\Delta_{h}f(\cdot)\|_{L^{\tau}(\Omega)}.$$

**Jackson estimate:** If  $f \in B_{\tau}^{s}$ , where 0 < s < 2/p, then

$$\sigma_n(f)_p \leq cn^{-s/2}|f|_{B^s_\tau}.$$

In general,  $S_1 - S_2 \notin \Sigma_{cn}$  if  $S_1, S_2 \in \Sigma_n$ , and

$$|S_1-S_2|_{B^s_{\tau}} \not\leq cn^{s/2} ||S_1-S_2||_{L^p}, \quad S_1, S_2 \in \Sigma_n.$$

**Example:**  $|f|_{B^s_{\tau}} \sim \varepsilon^{-s/2} ||f||_{L^p}$  for  $f = \mathbb{1}_{[0,\varepsilon] \times [0,1]}, 0 < s < 2/p$ .

#### Bernsten estimate

**New Bernstein estimate:** If 0 < s < 2/p and  $\tau \le 1$ , then

$$\|S_1\|_{B^s_{\tau}}^{ au} \leq \|S_2\|_{B^s_{\tau}}^{ au} + cn^{s au/2} \|S_1 - S_2\|_{L^p}^{ au}, \quad S_1, S_2 \in \Sigma_n.$$

**Inverse estimate:** If  $1 \le p < \infty$ , 0 < s < 2/p,  $\tau \le 1$  and  $f \in L^p$ , then

$$K(f, 2^{-\frac{ms}{2}}) \le c2^{-\frac{ms}{2}} \Big(\sum_{k=0}^{m} \left(2^{\frac{ks}{2}}\sigma_{2^{k}}(f)_{p}\right)^{\tau} + \|f\|_{p}^{\tau}\Big)^{1/\tau}, \quad m \ge 0,$$

where  $K(f, t) := \inf_{g \in B^s_{\tau}} \{ \|f - g\|_{L^p} + t |g|_{B^s_{\tau}} \}.$ 

**Characterization:**  $A_q^{\gamma}(L^p) = (L^p, B_{\tau}^s)_{2\gamma/s,q}, \ 0 < \gamma < s/2, \ 0 < q \le \infty.$ **Corollary.** For  $f \in L^p$  we have

 $\sigma_n(f)_p = O(n^{-\gamma})$  iff  $K(f,t) = O(t^{2\gamma/s}), 0 < \gamma < s/2 < 1/p.$ 

Nonlinear approximation from **piecewise linear polynomials** on a polygonal domain  $\Omega$  or  $\Omega = \mathbb{R}^2$  (the nonnested case). Denote by  $\Sigma_n^1$  the set of all functions *S* of the form

$$S=\sum_{\nu=1}^n a_\nu \varphi_{\theta_\nu},$$

where  $\varphi_{\theta_{\nu}}$  is the Courant element supported on the polygonal cell  $\theta_{\nu}$ . The minimal angle condition is imposed on the underlying triangles. No "thin" rings are allowed. Denote

$$\sigma_n(f)_p := \inf_{S \in \Sigma_n^1} \|f - S\|_{L^p(\Omega)}.$$

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## Nonlinear piecewise linear approximation $(d \ge 2)$

Let s > 0,  $1 \le p < \infty$ , and  $1/\tau = s/2 + 1/p$ . Besov space:

$$|f|_{B^s_{\tau}} := \Big(\int_0^\infty \big(t^{-s}\omega_2(f,t)_{\tau}\big)^{\tau}\frac{dt}{t}\Big)^{1/\tau}, \ \omega_2(f,t)_{\tau} := \sup_{|h| \leq t} \|\Delta_h f(\cdot)\|_{L^{\tau}(\Omega)}.$$

**Jackson estimate:** If  $f \in B^s_{\tau}$ , where 0 < s/2 < 1/p + 1, then

$$\sigma_n(f)_p \leq cn^{-s/2}|f|_{B^s_\tau}.$$

In general,  $S_1 - S_2 \notin \Sigma_{cn}^1$  if  $S_1, S_2 \in \Sigma_n^1$ , and

$$\|S_1 - S_2\|_{B^s_{ au}} \not\leq cn^{s/2} \|S_1 - S_2\|_{L^p}, \quad S_1, S_2 \in \Sigma^1_n.$$

#### Bernsten estimate

**Bernstein estimate:** If 0 < s/2 < 1/p + 1 and  $\tau \le 1$ , then

$$|S_1|_{B_{\tau}^s}^{\tau} \le |S_2|_{B_{\tau}^s}^{\tau} + cn^{s\tau/2} \|S_1 - S_2\|_{L^p}^{\tau}, \quad S_1, S_2 \in \Sigma_n^1.$$
  
Inverse estimate: If  $1 \le p < \infty, 0 < s/2 < 1/p + 1, \tau \le 1$   
and  $f \in L^p$ , then

$$K(f, 2^{-\frac{ms}{2}}) \le c2^{-\frac{ms}{2}} \Big(\sum_{k=0}^{m} \left(2^{\frac{ks}{2}}\sigma_{2^{k}}(f)_{p}\right)^{\tau} + \|f\|_{p}^{\tau}\Big)^{1/\tau}, \quad m \ge 0,$$

where  $K(f, t) := \inf_{g \in B^s_{\tau}} \left\{ \|f - g\|_{L^p} + t |g|_{B^s_{\tau}} \right\}.$ 

Characterization:  $A_q^{\gamma}(L^p) = (L^p, B_{\tau}^s)_{2\gamma/s,q}, 0 < \gamma < s/2 < 1/p + 1, 0 < q \le \infty.$ Corollary. For  $f \in L^p$  we have

 $\sigma_n(f)_p = O(n^{-\gamma}) \quad \text{iff} \quad K(f,t) = O(t^{2\gamma/s}), \ 0 < \gamma < s/2 < 1/p+1.$ 

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# Nonlinear *n*-term approximation from dilates and shifts of smooth localized functions

Suppose  $\Phi \in C^{\infty}(\mathbb{R}^d)$  and  $\Phi$  is well localized. For example,

$$\Phi(x) = \frac{1}{(1+|x|^2)^N}$$
 or  $\Phi(x) = \exp\{-|x|^2\}$  or ...

Denote by  $\Omega_n$  the set of all functions of the form

$$g(x) = \sum_{
u=1}^n c_
u \Phi(a_
u x + b_
u), \quad a_
u, c_
u \in \mathbb{R}, b_
u \in \mathbb{R}^d.$$

Consider

$$\sigma_n(f)_{\mathcal{P}} := \inf_{g \in \Omega_n} \|f - g\|_{L^p}, \quad f \in L^p(\mathbb{R}^d).$$

The goal is to characterize the associated approximation spaces.

#### Jackson estimate

**Theorem.** Let s > 0,  $1 , and <math>1/\tau = s/d + 1/p$ . If  $f \in B^s_{\tau\tau}$ , then

$$\sigma_n(f)_p \leq cn^{-s/d} \|f\|_{B^s_{\tau\tau}}.$$

Here

$$\|f\|_{B^s_{pq}}:=\Big(\int_0^\infty \big(t^{-s}\omega_k(f,t)_p\big)^q\frac{dt}{t}\Big)^{1/q},\quad k>s>0.$$

**Theorem.** (d=1) Let s > 0, 1 . There exists*K* $and a function <math>\theta$  of the form

$$heta(x) = \sum_{
u=1}^{K} c_{
u} \Phi(ax+b_{
u}), \quad a, c_{
u} \in \mathbb{R}, b_{
u} \in \mathbb{R}^{d},$$

s.t. if  $\theta_{j\ell}(x) := 2^{j/2} \theta(2^j x + \ell)$  then  $\mathcal{B} := \{\theta_{j\ell}\}$  is unconditional basis for  $L^p(\mathbb{R})$  which characterizes the Besov norm  $B^s_{\tau\tau}$ .

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#### Jackson estimate

Namely,

$$\|f\|_{\mathcal{B}^{\mathbf{s}}_{ au au}}\sim\Big(\sum_{j,\ell}\|\langle \widetilde{ heta}_{j\ell},f
angle heta_{j\ell}\|_{\mathcal{P}}^{ au}\Big)^{1/ au}.$$

Denote by  $\sigma_n(f, \mathcal{B})_p$  the best *n*-term appromation in  $L^p$  from  $\mathcal{B}$ .

**Theorem.** Let s > 0,  $1 , and <math>1/\tau = s/d + 1/p$ . If  $f \in B^s_{\tau\tau}$ , then  $\sigma_n(f, \mathcal{B})_p \leq cn^{-s/d} \|f\|_{B^s_{\tau\tau}}$ .

PP, Bases consisting of rational functions of uniformly bounded degrees or more general functions. J. Funct. Anal. 174 (2000), no. 1, 18-75.

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# Rational approximation on $\mathbb{R}$

Denote

$$R_n(f)_{\mathcal{P}} := \inf_{g \in R_n} \|f - g\|_{L^p(\mathbb{R})}, \quad \{R_n : g = \mathcal{P}/\mathcal{Q}, \deg \mathcal{P}, \mathcal{Q} \leq n\}.$$

**Theorem.** [Pekarskii] If  $f \in B_{\tau\tau}^s$ , s > 0,  $1 , <math>1/\tau = s + 1/p$ , then

$$R_n(f)_p\leq cn^{-s/d}\|f\|_{B^s_{ au au}}.$$

Let  $A_{pq}^{s}(R)$  be the approximation spaces assoc. with  $\{R_{n}(f)_{p}\}$ :

$$|f|_{\mathcal{A}_{pq}^{s}(R)} := \begin{cases} \left(\sum_{n=1}^{\infty} [n^{s}R_{n}(f)_{\rho}]^{q}\frac{1}{n}\right)^{1/q}, & 0 < q < \infty, \\ \sup_{n \geq 1} n^{s}R_{n}(f)_{\rho}, & q = \infty. \end{cases}$$

**Theorem.** [Pekarski] If 1 , <math>s > 0,  $1/\tau = s + 1/p$ , then

$$\mathcal{A}_{
ho q}^{\gamma}(R) = (L^{
ho}, B^{s}_{ au au})_{\gamma/s,q}, \quad 0 < \gamma < s, 0 < q \leq \infty.$$

Prove or disprove the **Bernstein inequality**:

$$\|g\|_{B^s_{ au au}} \leq cn^{s/d} \|g\|_{L^p},$$

where s > 0,  $1 , <math>1/\tau = s + 1/p$ , for functions of the form

$$g(x) = \sum_{\nu=1}^n c_
u \Phi(a_
u x + b_
u), \quad a_
u, c_
u \in \mathbb{R}, b_
u \in \mathbb{R}^d,$$

where

$$\Phi(x) = \exp\{-|x|^2\}$$

or another  $\Phi \in C^{\infty}(\mathbb{R}^d)$  with fast decay.

The idea is to use a "small perturbation argument" method.

**The setting:** Let *H* be a separable Hilbert space of functions and

 $\mathcal{S} \subset \mathcal{H} \subset \mathcal{S}',$ 

where S is a linear space of test functions and S' is the associated space of distributions. Suppose

 $\mathcal{B}\subset\mathcal{S}'$ 

is a quasi-Banach space of distributions with associated sequence space  $b(\mathcal{X})$ .

For instance,  $\mathcal{B}$  can be a Besov or Triebel-Lizorkin space.

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#### Construction of bases

**The old basis.** Assume  $\Psi := \{\psi_{\xi} : \xi \in \mathcal{X}\} \subset \mathcal{S}$  is an orthonormal basis for *H* and  $\Psi$  is a basis for the space  $\mathcal{B}$  in the following sense:

(a) Every  $f \in \mathcal{B}$  has a unique representation in terms of  $\{\psi_{\xi}\}_{\xi \in \mathcal{X}}$  and

$$f = \sum_{\xi \in \mathcal{X}} \langle f, \psi_{\xi} 
angle \psi_{\xi}$$
 in  $\mathcal{B}$ .

(b) The operator  $S_{\Psi}f := (\langle f, \psi_{\xi} \rangle)_{\xi \in \mathcal{X}}$  is bounded as an operator from  $\mathcal{B}$  to  $b(\mathcal{X})$ .

(c) For any sequence  $h \in b(\mathcal{X})$  the operator  $T_{\Psi}h := \sum_{\xi \in \mathcal{X}} h_{\xi}\psi_{\xi}$  is well defined and bounded as an operator from  $b(\mathcal{X})$  to  $\mathcal{B}$ . Consequently, for any  $f \in \mathcal{B}$ 

$$c_1 \|f\|_{\mathcal{B}} \le \|(\langle f, \psi_{\xi} \rangle)\|_{b(\mathcal{X})} \le c_2 \|f\|_{\mathcal{B}}$$

for some constants  $c_1, c_2 > 0$ .

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## Construction of bases (Cont.)

**Construction of a new basis.** The idea is by perturbing  $\Psi$  to construct a new basis  $\Theta = \{\theta_{\xi} : \xi \in \mathcal{X}\}$  for *H* and *B*. Since  $\Psi$  is a basis for *H*, we have

$$\theta_{\xi} = \sum_{\eta \in \mathcal{X}} \langle \theta_{\xi}, \psi_{\eta} \rangle \psi_{\eta} \quad \text{in } H.$$

Denote by A the transformation matrix

$$A := (a_{\xi,\eta})_{\xi,\eta\in\mathcal{X}}, \quad a_{\xi,\eta} := \langle \theta_{\xi}, \psi_{\eta} \rangle.$$

The key assumption is that the operator A with matrix A is bounded and invertible on  $\ell^2(\mathcal{X})$  and  $A^{-1}$  is bounded on  $\ell^2(\mathcal{X})$ . Observe that if

$$D = (d_{\xi,\eta})_{\xi,\eta\in\mathcal{X}} := (\langle \psi_{\xi} - \theta_{\xi}, \psi_{\eta} \rangle)_{\xi,\eta\in\mathcal{X}},$$

then A = Id - D and, therefore,  $A^{-1}$  exists and is bounded on  $\ell^2(\mathcal{X})$  if

$$\|D\|_{\ell^2(\mathcal{X})\mapsto\ell^2(\mathcal{X})}<1.$$

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# Construction of bases (Cont.)

If  $A^{-1} =: (b_{\xi,\eta})_{\xi,\eta \in \mathcal{X}}$  we define the dual by

$$ilde{ heta}_{\xi}:=\sum_{\eta\in\mathcal{X}}\overline{b_{\eta,\xi}}\psi_{\eta} \quad ext{and set} \quad ilde{\Theta}:=\{ ilde{ heta}_{\xi}:\xi\in\mathcal{X}\}.$$

**Theorem.** Assume in addition that the operators  $A^T$  and  $(A^{-1})^T$  with matrices  $A^T$  and  $(A^{-1})^T$  are bounded on  $b(\mathcal{X})$ . Then  $\Theta$  (with dual  $\tilde{\Theta}$ ) is a basis for  $\mathcal{B}$  in the following sense: (a) Every  $f \in \mathcal{B}$  has a unique representation in terms of  $\{\theta_{\xi}\}_{\xi \in \mathcal{X}}$  and

$$f = \sum_{\xi \in \mathcal{X}} \langle f, \tilde{\theta}_{\xi} \rangle \theta_{\xi},$$

where by definition  $\langle f, \tilde{\theta}_{\xi} \rangle := \sum_{\eta \in \mathcal{X}} \langle f, \psi_{\eta} \rangle \langle \psi_{\eta}, \tilde{\theta}_{\xi} \rangle$  and the series converges unconditionally in  $\mathcal{B}$ .

(b) There exist constants  $c_1, c_2 > 0$  such that

$$c_1 \|f\|_{\mathcal{B}} \le \|(\langle f, \tilde{\theta}_{\xi} \rangle)_{\xi}\|_{b(\mathcal{X})} \le c_2 \|f\|_{\mathcal{B}} \quad \text{for} \quad f \in \mathcal{B}.$$

**Goal:** Apply the above scheme for the construction of a unconditional basis

$$\{R_{j,k}\}$$
 with dual  $\{\tilde{R}_{j,k}\}$ 

for the Hardy spaces  $H^p$ , 0 , on the unit disc $<math>D := \{z \in \mathbb{C} : |z| < 1\}$  which characterizes holomorphic Besov spaces  $B^s_{pa}(A)$  on D. Here each

$$R_{j,k} \in \mathsf{R}_{\mathcal{K}}(D)$$
 with  $\mathcal{K} < \infty$  fixed,

where  $R_{\kappa}(D)$  is the set of all rational functions of degree  $\leq K$  with poles outside  $\overline{D}$ .

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## Rational approximation in $H^p$ . Pekarski's results

Let *A* be the set of all holomorphic functions on  $D := \{|z| < 1\}$ and for  $f \in A$  set

$$\|f(r \cdot)\|_{L^p} := \left(\int_{|z|=1} |f(rz)|^p |dz|\right)^{1/p} \quad 0$$

The Hardy space  $H^p$ ,  $0 , is the set of all <math>f \in A$  s.t.

$$\|f\|_{H^p} := \lim_{r \to 1^-} \|f(r \cdot)\|_{L^p} < \infty.$$

If  $f(z) = \sum_{n \ge 0} \hat{f}(n) z^n$  we set  $J^{\beta} f(z) := \sum_{n \ge 0} (n+1)^{\beta} \hat{f}(n) z^n, \quad \beta \in \mathbb{R}.$ 

Besov space  $B^s_{
ho q} := B^s_{
ho q}(A),\,s\in\mathbb{R},\,0<
ho,q\leq\infty,$  is defined by

$$\|f\|_{B^{s}_{pq}} := \left(\int_{0}^{1} (1-r)^{(\beta-s)q-1} \|J^{\beta}f(r\cdot)\|_{L^{p}}^{q} dr\right)^{1/q}, \quad \beta > s, \quad \text{if } q < \infty.$$

#### Pekarski's results

Denote

$$R_n(f,H^p):=\inf_{g\in\mathsf{R}_n(D)}\|f-g\|_{H^p},$$

where  $R_n(D)$  is the set of rational functions of degree  $\leq n$  on D.

Theorem (A. Pekarski) (a) If  $f \in B^s_{\tau\tau}$ , s > 0,  $\frac{1}{\tau} = s + \frac{1}{p}$ , 0 , then $<math>R_n(f, H^p) \le cn^{-s} ||f||_{B^s_{\tau\tau}}$ ,  $n \ge 1$  (*Jackson*) (b) If  $g \in R_n(D)$ ,  $n \ge 1$  and s > 0,  $\frac{1}{\tau} = s + \frac{1}{p}$ , 1 , then $<math>||g||_{B^s_{\tau\tau}} \le cn^{-s} ||g||_{H^p}$  (*Bernstein*)

A. Pekarskii, Classes of analytic functions defined by best rational approximation in  $H_p$ , Mat. Sb. 127 (1985), 3–20.

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## Two hump holomorphic wavelet basis of Y. Meyer

Let  $\Psi := \{2^{j/2}\psi(2^jx - k), j, k \in \mathbb{Z}\}$  be Meyer's orthonormal wavelet basis for  $L^2(\mathbb{R})$ . Recall that  $\psi$  is a real-valued function with the properties:  $\psi \in S(\mathbb{R})$ ,

$$\operatorname{supp} \hat{\psi} \subset \Big\{ \xi : \frac{2\pi}{3} \leq |\xi| \leq \frac{8\pi}{3} \Big\}, \quad \sum_{j \in \mathbb{Z}} |\hat{\psi}(\xi 2^{-j})|^2 = 1, \ \xi \neq 0.$$

The 1-periodic Meyer's wavelets are defined by

$$g_{j,k}(x):=2^{j/2}\sum_{\ell\in\mathbb{Z}}\psi(2^j(x+\ell)-k),\quad 0\leq k<2^j,\ j\geq 0.$$

Using the Poisson summation formula it readily follows that

$$g_{j,k}(x) = 2^{-j/2} \sum_{\nu \in \mathbb{Z}} \hat{\psi}(2\pi\nu 2^{-j}) e^{2\pi i \nu (x-k2^{-j})}.$$

For  $0 \le k < 2^{j-1}$ ,  $j \ge 0$ , and  $k^* = 2^j - k - 1$ , set

$$G_{j,k}(x) := 2^{-j/2} \sum_{\nu \ge 0} \hat{\psi}(2\pi\nu 2^{-j}) \left( e^{2\pi i\nu(x-k^{2-j})} + e^{2\pi i\nu(x-k^{*}2^{-j})} \right).$$

## Two hump holomorphic wavelet basis of Y. Meyer

Note that 
$$G_{0,0}(x) = -e^{2\pi i x}$$
. In addition, let  $G_{-1,0}(x) := 1$ . Then $\{G_{j,k}: 0 \le k < 2^{j-1}, j \ge -1\}$ 

is an orthonormal basis for  $H^2$ .

Given  $s \in \mathbb{R}$  and  $0 < p, q \le \infty$  the space  $b_{pq}^s$  is defined as the set of all complex-valued sequences  $h := \{h_{jk}\}$  s.t.

$$\|h\|_{b^{s}_{pq}} := \Big(\sum_{j=-1}^{\infty} 2^{j(s-\frac{1}{p}+\frac{1}{2})q} \Big(\sum_{0 \le k < 2^{j-1}} |h_{jk}|^{p}\Big)^{\frac{q}{p}}\Big)^{1/q} < \infty$$

with the usual modification for  $q = \infty$ .

**Theorem.** Each  $f \in B_{pq}^s$  has a unique representation

$$f = \sum_{jk} c_{jk}(f) G_{j,k}, \quad ext{where} \quad c_{jk}(f) := \langle f, G_{j,k} 
angle.$$

Moreover,

$$\|f\|_{B^s_{pq}} \sim \|(c_{jk}(f))\|_{b^s_{pq}}.$$

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## Holomorphic rational bases

Let

$$\Phi(x):=\frac{1}{(1+x^2)^n}, \quad x\in\mathbb{R}, \ n\in\mathbb{N},$$

and denote

$$\Theta_{\mathcal{K}} := \Big\{ heta: heta(x) = \sum_{\nu=1}^{\mathcal{K}} c_{\nu} \Phi(ax+b_{\nu}), \ c_{\nu}, b_{\nu} \in \mathbb{R}, \ a > 0 \Big\}.$$

Obviously,  $\Theta_{\mathcal{K}} \subset \mathsf{R}_{2n\mathcal{K}}(\mathbb{R})$ , where  $\mathsf{R}_n(\mathbb{R})$  is the set of all rational functions of degree (order)  $\leq n$  on  $\mathbb{R}$  with real coefficients.

**Proposition.** Given  $N, n \in \mathbb{N}$ , M > 0 with 2n > M, and  $\varepsilon > 0$  there exists  $K \ge 1$  and  $\theta \in \Theta_K$  such that

$$\begin{aligned} (i) \qquad |\psi^{(r)}(x) - \theta^{(r)}(x)| &\leq \varepsilon (1+|x|)^{-M}, \quad 0 \leq r \leq N+2, \\ (ii) \qquad \int_{\mathbb{R}} x^r \theta(x) \, dx = 0, \quad 0 \leq r \leq N. \end{aligned}$$

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## Holomorphic rational bases

For 
$$j \ge 0, 0 \le k < 2^{j-1}$$
, with  $k^* = 2^j - k - 1$ , we define

$$R_{j,k}(x) := 2^{-j/2} \sum_{\nu \ge 0} \hat{\theta}(2\pi\nu 2^{-j}) \big( e^{2\pi i\nu(x-k^{2-j})} + e^{2\pi i\nu(x-k^{*2-j})} \big),$$

and  $R_{-1,0}(x) := 1$ . Write  $\mathcal{R} := \{R_{j,k}\}$ .

**Theorem.** Let above  $N > \max\{s, \mathcal{J}, \mathcal{J} - s - 1\}$  with  $\mathcal{J} := 1/\min\{1, p\}$  and M > N + 1. Then (a) Each  $R_{j,k}$  extends to a rational function in  $R_{\mathcal{K}}(D)$  for some fixed  $\mathcal{K} < \infty$ . (b) If  $\varepsilon > 0$  is sufficiently small  $\mathcal{R}$  has a dual system  $\tilde{\mathcal{R}}$  s.t. ( $\mathcal{R}, \tilde{\mathcal{R}}$ ) is a unconditional basis for  $H^p$  and any  $f \in B^s_{pq}$  has a unique representation

unique representation

$$f = \sum_{j,k} d_{jk}(f) R_{j,k}, \quad d_{jk}(f) := \langle f, \tilde{R}_{j,k} \rangle,$$

Furthermore, if  $f \in B^s_{pq}$  we have  $||f||_{B^s_{pq}} \sim ||(d_{jk}(f))||_{b^s_{pq}}$ .

## Proof of Pekarski's direct estimate

*n*-term approximation in  $H^p$  from the rational basis  $\mathcal{R} := \{R_{jk}\}$ . Let  $\Sigma_n$  be the nonlinear set of all functions g of the form

$$g=\sum_{
u=1}^n a_
u R_
u, \quad R_
u\in \mathcal{R}.$$

Denote

$$\sigma_n(f, H^p) := \inf_{g \in \Sigma_n} \|f - g\|_{H^p}.$$

Theorem. If  $f \in B^s_{\tau\tau}$ , s > 0,  $0 , <math>\frac{1}{\tau} = s + \frac{1}{p}$ , then  $\sigma_n(f, H^p) \le cn^{-s} ||f||_{B^s_{\tau\tau}}, \quad n \ge 1.$ 

Corollary. [Pekarski]

$$R_n(f, H^p) \leq cn^{-s} \|f\|_{B^s_{\tau\tau}}, \quad n \geq 1.$$

G. Kyriazis, PP, Rational bases for spaces of holomorphic functions in the disc, J. Lond. Math. Soc. (2) 89 (2014), 434–460.

## Goal:

Characterize the approximation spaces associated with nonlinear *n*-term approximation in  $L^p$  from frames with smooth and localized elements in  $\mathbb{R}^d$ , on the sphere, interval, ball and simplex with weights as well as in general Dirichlet spaces

#### Classical frames of Frazier and Jawerth on $\mathbb{R}$

Let  $\psi_0, \psi \in S$ , supp  $\hat{\psi}_0 \subset [-2, 2]$ , supp  $\hat{\psi} \subset [-2, -1/2] \cup [1/2, 2]$ , and

$$|\hat{\psi}_0(\xi)|^2 + \sum_{\nu \ge 1} |\hat{\psi}(2^{-\nu}\xi)|^2 = 1 \quad \text{for } \xi \in \mathbb{R}.$$

Set

$$\begin{split} \psi_{0k}(x) &:= \psi_0(x-k) =: \psi_l(x), \quad I := [k, k+1], \\ \psi_{jk}(x) &:= 2^{j/2} \psi(2^j x - k) =: \psi_l(x), \quad I := \Big[\frac{k}{2^j}, \frac{k+1}{2^j}\Big], \ j \ge 1. \end{split}$$

Denote  $D_j := \{ [\frac{k}{2^j}, \frac{k+1}{2^j}] : k \in \mathbb{Z} \}$  and set  $D := \bigcup_{j \ge 0} D_j$ . It is easy to see that  $\{\psi_l\}_{l \in D}$  is a tight frame for  $L^2(\mathbb{R})$ :

$$f = \sum_{I \in D} \langle f, \psi_I \rangle \psi_I$$
 and  $||f||_{L^2} = \left(\sum_{I \in D} |\langle f, \psi_I \rangle|^2\right)^{1/2}$  for  $f \in L^2$ .

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#### Definition of inhomogeneous Besov spaces.

Let  $\varphi_0, \varphi \in S$ , supp  $\hat{\psi}_0 \subset [-2, 2]$ , supp  $\hat{\psi} \subset [-2, -1/2] \cup [1/2, 2]$ , and

$$|\hat{arphi}_0(\xi)|+\sum_{
u\geq 1}|\hat{arphi}(2^{-
u}\xi)|\geq c>0 \quad ext{for} \ \ \xi\in\mathbb{R}.$$

Set  $\varphi_j(x) := 2^j \varphi(2^j x), j \ge 1$ .

Let  $s \in \mathbb{R}$ ,  $0 < p, q \le \infty$ . The space  $B_{pq}^s$  is defined as the set of all  $f \in S'$  such that

$$\|f\|_{B^s_{pq}} := \Big(\sum_{j\geq 0} \Big(2^{js} \|\varphi_j * f\|_{L^p}\Big)^q\Big)^{1/q} < \infty$$

with the usual modification when  $p, q = \infty$ .

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#### Frame decomposition of Besov spaces

**Theorem (Frazier-Jawert).** Let  $s \in \mathbb{R}$ ,  $0 < p, q \le \infty$ . Then for any  $f \in B_{pq}^s$ 

$$\|f\|_{B^s_{pq}} \sim \left(\sum_{j\geq 0} \left(\sum_{l\in D_j} \left(|l|^{s/d+1/p-1/2} |\langle f,\psi_l\rangle|\right)^p\right)^{q/p}\right)^{1/q}$$

with the usual modification when  $p, q = \infty$ . Frame decomposition of  $L^p$ ,  $1 , and <math>H^p$ , 0 , spaces:

$$f = \sum_{I \in D} \langle f, \psi_I \rangle \psi_I \quad \text{and} \quad \|f\|_{L^p} \sim \left\| \left( \sum_{j \ge 0} [|\langle f, \psi_I \rangle \psi_I(\cdot)|]^2 \right)^{1/2} \right\|_{L^p}$$

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# Kernels on the unit sphere $\mathbb{S}^d \subset \mathbb{R}^{d+1}$ , $d \geq 2$

Let  $\mathcal{H}_n$  be the set of all spherical harmonics of degree *n* on  $\mathbb{S}^d \subset \mathbb{R}^{d+1}$ . Then

$$P_n(x \cdot y) = \frac{n+\lambda}{\lambda \omega_d} C_n^{\lambda}(x \cdot y) \quad \text{with} \quad \lambda := \frac{d-1}{2}, \ \omega_d := \int_{\mathbb{S}} 1 d\sigma,$$

is the kernel of the orthogonal projector onto  $\mathcal{H}_n$ . Here  $C_n^{\lambda}(t)$  is the *n*th degree Gegenbauer polynomial The construction of frames on  $\mathbb{S}^d$  relies on kernels

$$\mathcal{K}_n(x \cdot y) = \sum_{j=0}^{\infty} \varphi\left(\frac{j}{n}\right) \mathcal{P}_j(x \cdot y), \quad \varphi \in \mathcal{C}^{\infty}(\mathbb{R}_+), \text{ supp } \varphi \subset [1/2, 2].$$

**Localization:** Here  $\rho(x, y)$  is the geodesic distance on the sphere

$$|\mathcal{K}_n(x \cdot y)| \leq \frac{c_{\sigma} n^d}{(1 + n\rho(x, y))^{\sigma}} \quad \forall \sigma > 0.$$

#### Construction of spherical needlets

Let 
$$\varphi \in C^{\infty}[0,\infty)$$
, supp  $\varphi \subset [1/2,2]$  and  $|\varphi(t)|^2 + |\varphi(t/2)|^2 = 1$   
for  $t \in [1,2]$ . Hence  $\sum_{j \ge 0} |\varphi(2^{-j}t)|^2 = 1$ ,  $t \in [1,\infty)$ . Set

$$\Psi_j(x \cdot y) := \sum_{\nu=0}^{\infty} \varphi\left(\frac{\nu}{2^{j-1}}\right) P_{\nu}(x \cdot y), \quad j \ge 1, \quad \Psi_0(x \cdot y) := P_0(x \cdot y).$$

Let the cubature 
$$\int_{\mathbb{S}^d} f(x) d\sigma(x) \sim \sum_{\xi \in \mathcal{X}_j} c_{\xi} f(\xi)$$

be exact for spherical harmonics of degree  $\leq 2^{j+2}$  and  $c_\xi \sim 2^{-jd}.$ 

**Needlets:** 
$$\psi_{\xi}(x) := c_{\xi}^{-1/2} \Psi_j(\xi \cdot x), \ \xi \in \mathcal{X}_j.$$
 Set  $\mathcal{X} := \cup_{j=0}^{\infty} \mathcal{X}_j$ 

**Needlet decomposition:**  $f = \sum_{\xi \in \mathcal{X}} \langle f, \psi_{\xi} \rangle \psi_{\xi}$  for  $f \in L^2$ , and

$$\|f\|_{L^2} = \left(\sum_{\xi \in \mathcal{X}} |\langle f, \psi_{\xi} \rangle|^2\right)^{1/2}$$

#### Localization:

$$|\psi_{\xi}(\pmb{x})| \leq rac{c_{\sigma}2^{jd/2}}{(1+2^{j}
ho(\xi,\pmb{x}))^{\sigma}}, \hspace{1em} \xi \in \mathcal{X}_{j}, \hspace{1em} orall \sigma > 0.$$

#### Needlets on $\mathbb{S}^2$ :



Needlet cross section, degree 32

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#### Needlet of degree 512

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#### Needlet of degree 512

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#### Needlet of degree 128

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## **Definition of Besov spaces**

**Distributions on**  $\mathbb{S}^d$ . Test functions:  $\mathcal{D} := C^{\infty}(\mathbb{S}^d)$ . Claim:  $\phi \in \mathcal{D}$  iff

$$\mathcal{N}_{k}(\phi) := \sup_{n \geq 0} (n+1)^{k} \|(\operatorname{Proj}_{n})\phi\|_{2} < \infty \quad \forall k = 0, 1, \dots$$

The topology on  $\mathcal{D}$  is defined by the seminorms  $\mathcal{N}_k(\phi)$ . The space  $\mathcal{D}' := \mathcal{D}'(\mathbb{S}^d)$  of distributions on  $\mathbb{S}^d$  is defined as the set of all continuous linear functionals on  $\mathcal{D}$ .

**Kernels:** Consider the kernels  $\{\Phi_j\}$  defined by

$$\Phi_0(x \cdot y) := 1$$
 and  $\Phi_j(x \cdot y) := \sum_{\nu=0}^{\infty} \varphi\left(\frac{\nu}{2^{j-1}}\right) P_{\nu}(x \cdot y), \quad j \ge 1,$ 

where  $\varphi$  obeys the conditions

$$arphi \in C^{\infty}[0,\infty), \quad ext{supp } arphi \subset [1/2,2],$$
  
 $|arphi(t)| > c > 0, \quad ext{if } t \in [3/5,5/3].$ 

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#### Definition of Besov spaces.

Let  $s \in \mathbb{R}$  and  $0 < p, q \le \infty$ . The Besov space  $B_{pq}^s = B_{pq}^s(\mathbb{S}^d)$  is defined as the set of all distributions  $f \in \mathcal{D}'$  s.t.

$$\|f\|_{\mathcal{B}^s_{pq}}:=\Big(\sum_{j\geq 0}\Big(2^{sj}\|\Phi_jf(\cdot)\|_{L^p}\Big)^q\Big)^{1/q}<\infty.$$

Here  $\Phi_j f(x) := \int_{\mathbb{S}^d} \Phi_j(x \cdot y) f(y) d\sigma(y)$ .

**Theorem.** Let  $s \in \mathbb{R}$  and  $0 < p, q \le \infty$ . Then for any  $f \in B^s_{pq}$ 

$$\|f\|_{B^s_{pq}} \sim \Big(\sum_{j\geq 0} 2^{jsq} \Big[\sum_{\xi\in\mathcal{X}_j} \|\langle f,\psi_\xi\rangle\psi_\xi\|_p^p\Big]^{q/p}\Big)^{1/q}.$$

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Similar frames have been constructed

- on the interval with Jacobi weights
- on the ball with weights
- on the simplex with weights
- on product spaces

Compactly supported frames with small shrinking supports have also been constructed on the sphere, interval, ball, simplex.

## Frames in a general setting

(a)  $(M, \rho, \mu)$  is a metric measure space with doubling measure:

$$0<\mu(B(x,2r))\leq c\mu(B(x,r))<\infty, \hspace{1em} x\in M, \hspace{1em} r>0,$$

which implies  $\mu(B(x,\lambda r)) \leq c\lambda^d \mu(B(x,r)), r > 0, \lambda > 1.$ 

(b) *L* is a self-adjoint positive operator on  $L^2(M, d\mu)$  s.t. the (heat) kernel  $p_t(x, y)$  of the associated semigroup

$$P_t = e^{-tl}$$

obeys

$$|p_t(x,y)| \leq \frac{C \exp\{-\frac{c\rho^2(x,y)}{t}\}}{\sqrt{\mu(B(x,\sqrt{t}))\mu(B(y,\sqrt{t}))}} \quad \text{for } x,y \in M, \, 0 < t \leq 1.$$

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# Setting

(c) Hölder continuity: There exists a constant  $\alpha > 0$  s.t

$$\left| p_t(x,y) - p_t(x,y') \right| \le C \left( \frac{\rho(y,y')}{\sqrt{t}} \right)^{\alpha} \frac{\exp\{-\frac{c\rho^2(x,y)}{t}\}}{\sqrt{\mu(B(x,\sqrt{t}))\mu(B(y,\sqrt{t}))}}$$

for  $x, y, y' \in M$  and  $0 < t \le 1$ , whenever  $\rho(y, y') \le \sqrt{t}$ .

(d) Markov property:

$$\int_{M} p_t(x, y) d\mu(y) \equiv 1 \quad \text{for } t > 0.$$

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A natural effective realization of the above setting appears in the general framework of strictly local regular Dirichlet spaces with a complete intrinsic metric where it only suffices to verify

the local Poincaré inequality and the doubling condition on the measure

then the above general setting applies in full.

- Classical setting on  $\mathbb{R}^d$  with  $L = -\Delta$
- Uniformly elliptic divergence form operators on  $\mathbb{R}^d$ .
- Uniformly elliptic divergence form operators on subdomains of ℝ<sup>d</sup> with boundary conditions.
- Riemannian manifolds and Lie groups. In particular, Compact Riemannian manifolds, Riemannian manifold with non-negative Ricci curvature, Compact Lie groups, Lie groups with polynomial growth and their homogeneous spaces, ...
- Heat kernel on [-1, 1] associated with the Jacobi operator, Heat kernel on the sphere, ball and simplex

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### Functional calculus in the general setting

**Theorem.** Suppose  $f \in C_0^{\infty}(\mathbb{R})$  and let *f* be even. Then  $f(\delta\sqrt{L}), \delta > 0$ , is an integral operator with kernel  $f(\delta\sqrt{L})(x, y)$  satisfying

$$\left|f(\delta\sqrt{L})(x,y)\right| \leq c_{\sigma} rac{\left(1+rac{
ho(x,y)}{\delta}
ight)^{-\sigma}}{\sqrt{|B(x,\delta)||B(y,\delta)|}} \quad orall \sigma > 0,$$

and if  $\rho(\mathbf{y}, \mathbf{y}') \leq \delta$ 

$$\left|f(\delta\sqrt{L})(x,y)-f(\delta\sqrt{L})(x,y')\right| \leq c_{\sigma} \left(\frac{\rho(y,y')}{\delta}\right)^{\alpha} \frac{\left(1+\frac{\rho(x,y)}{\delta}\right)^{-\sigma}}{\sqrt{|B(x,\delta)||B(y,\delta)|}}$$

for some  $\alpha > 0$ . Here  $B(x, \delta)$  is the ball with center x and radius  $\delta$ .

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Let  $E_{\lambda}$ ,  $\lambda \ge 0$ , be the spectral resolution associated with *L*. Then

$$L = \int_0^\infty \lambda dE_\lambda$$

Let  $F_{\lambda}$ ,  $\lambda \ge 0$ , be the spectral resolution associated with  $\sqrt{L}$ , i.e.  $F_{\lambda} = E_{\lambda^2}$  and hence  $\sqrt{L} = \int_0^{\infty} \lambda dF_{\lambda}$ . The spectral space  $\Sigma_{\lambda}$  is defined by

$$\Sigma_{\lambda} := \{ f \in L^2 : F_{\lambda}f = f \}.$$

This can be extended to define  $\Sigma_{\lambda}^{p}$ ,  $1 \leq p \leq \infty$ :

$$\Sigma_{\lambda}^{p} := \{ f \in L^{p} : \theta(\sqrt{L})f = f \text{ for all } \theta \in C_{0}^{\infty}(\mathbb{R}_{+}), \ \theta \equiv 1 \text{ on } [0, \lambda] \}.$$

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# Frames when $\{\Sigma_{\lambda}^2\}$ possess the polynomial property

#### Suppose

$$\Sigma^2_\lambda \cdot \Sigma^2_\lambda \subset \Sigma^1_{2\lambda}, \quad \text{i.e.} \quad f,g\in \Sigma^2_\lambda \Longrightarrow \mathit{fg}\in \Sigma^1_{2\lambda}.$$

 $\begin{array}{ll} \mbox{Choose } \Psi_0, \Psi \in {\pmb{C}}^\infty(\mathbb{R}_+) \mbox{ s.t.} \\ \mbox{supp } \Psi_0 \subset [0, {\pmb{b}}], \quad \mbox{supp } \Psi \subset [{\pmb{b}}^{-1}, {\pmb{b}}], \quad 0 \leq \Psi_0, \Psi \leq 1, {\pmb{b}} > 1, \end{array}$ 

$$\Psi^2_0(u)+\sum_{j\geq 1}\Psi^2(b^{-j}u)=1,\quad u\in\mathbb{R}_+$$

Set  $\Psi_j(u) := \Psi(b^{-j}u)$ . Then  $\sum_{j\geq 0} \Psi_j^2(u) = 1$ ,  $u \in \mathbb{R}_+$  and  $f = \sum_{i\geq 0} \Psi_j^2(\sqrt{L})f$ ,  $f \in L^p$ .

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## Construction of frames (Con.)

**Cubature:** Let  $\mathcal{X}_i$  be a maximal  $\delta$ -net with  $\delta = O(b^{-j})$  s.t.

$$\int_{M} f(x) d\mu(x) = \sum_{\xi \in \mathcal{X}_{j}} w_{j\xi} f(\xi) \quad \text{for} \quad f \in \Sigma^{1}_{2b^{j+1}},$$

**Discretization:** 

$$\Psi_j^2(\sqrt{L})(x,y) = \int_M \Psi_j(\sqrt{L})(x,u)\Psi_j(\sqrt{L})(u,y)d\mu(u)$$
  
=  $\sum_{\xi \in \mathcal{X}_j} w_{j\xi}\Psi_j(\sqrt{L})(x,\xi)\Psi_j(\sqrt{L})(\xi,y).$ 

**Definition:**  $\psi_{\xi}(x) := \sqrt{w_{j\xi}} \Psi_j(\sqrt{L})(x,\xi), \ \xi \in \mathcal{X}_j.$ Then

$$f = \sum_{\xi \in \mathcal{X}} \langle f, \psi_{\xi} \rangle \psi_{\xi}$$
 in  $L^{p}$  and  $||f||_{2}^{2} = \sum_{\xi \in \mathcal{X}} |\langle f, \psi_{\xi} \rangle|^{2}$  for  $f \in L^{2}$ .

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#### Frames in the general setting: Properties

Frames: 
$$\{\psi_{\xi}\}_{\xi\in\mathcal{X}}, \{\tilde{\psi}_{\xi}\}_{\xi\in\mathcal{X}}, \mathcal{X} = \cup_{j\geq 0}\mathcal{X}_{j}$$

**Representation:** for any  $f \in L^p$ ,  $1 \le p \le \infty$ , with  $L^{\infty} := \text{UCB}$ 

$$f = \sum_{\xi \in \mathcal{X}} \langle f, \tilde{\psi}_{\xi} \rangle \psi_{\xi} = \sum_{\xi \in \mathcal{X}} \langle f, \psi_{\xi} \rangle \tilde{\psi}_{\xi} \quad \text{in } L^{p}.$$

**Frame:** The system  $\{\tilde{\psi}_{\xi}\}$  is a frame for  $L^2$ :

$$c^{-1}\|f\|_2^2 \leq \sum_{\xi \in \mathcal{X}} |\langle f, \tilde{\psi}_{\xi} \rangle|^2 \leq c\|f\|_2^2, \quad \forall f \in L^2.$$

The same is true for  $\{\psi_{\xi}\}$ .

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### **Frames Properties**

**Space localization:** For any  $\xi \in \mathcal{X}_j$ ,  $j \ge 0$ ,

$$|\psi_{\xi}(\boldsymbol{x})| \leq c |\boldsymbol{B}(\xi, \boldsymbol{b}^{-j})|^{-1/2} \expig\{ -\kappa (\boldsymbol{b}^{j} 
ho(\boldsymbol{x}, \xi))^{eta} ig\},$$

and if  $\rho(x, y) \leq b^{-j}$ 

$$|\psi_{\xi}(\boldsymbol{x}) - \psi_{\xi}(\boldsymbol{y})| \leq c|\boldsymbol{B}(\xi, \boldsymbol{b}^{-j})|^{-1/2} (\boldsymbol{b}^{j} \rho(\boldsymbol{x}, \boldsymbol{y}))^{\alpha} \exp\left\{-\kappa (\boldsymbol{b}^{j} \rho(\boldsymbol{x}, \xi))^{\beta}\right\}.$$

Here  $0 < \kappa < 1$  and b > 1 are constants. Same holds for  $\tilde{\psi}_{\xi}$ .

**Spectral localization:**  $\psi_{\xi}, \tilde{\psi}_{\xi} \in \Sigma_{b}^{p}$  if  $\xi \in \mathcal{X}_{0}$  and

$$\psi_{\xi}, ilde{\psi}_{\xi} \in \Sigma^{p}_{[b^{j-2}, b^{j+2}]} ext{ if } \xi \in \mathcal{X}_{j}, \ j \geq 1, \ 0$$

#### Norms:

$$\|\psi_{\xi}\|_{oldsymbol{
ho}} \sim \| ilde{\psi}_{\xi}\|_{oldsymbol{
ho}} \sim |oldsymbol{B}(\xi,oldsymbol{b}^{-j})|^{rac{1}{p}-rac{1}{2}} \quad ext{for} \ \ oldsymbol{0} < oldsymbol{p} \leq \infty.$$

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### **Definition of Besov spaces**

Let  $\varphi_0, \varphi \in C^{\infty}(\mathbb{R}_+)$ ,  $\operatorname{supp} \varphi_0 \subset [0, 2]$ ,  $\varphi_0^{(\nu)}(0) = 0$  for  $\nu \ge 1$ ,  $\operatorname{supp} \varphi \subset [1/2, 2]$ , and  $|\varphi_0(\lambda)| + \sum_{j \ge 1} |\varphi(2^{-j}\lambda)| \ge c > 0$ ,  $\lambda \in \mathbb{R}_+$ . Set  $\varphi_j(\lambda) := \varphi(2^{-j}\lambda)$  for  $j \ge 1$ .

Let  $s \in \mathbb{R}$  and  $0 < p, q \leq \infty$ .

(*i*) The "classical" Besov space  $B_{pq}^s = B_{pq}^s(L)$  is defined by

$$\|f\|_{B^s_{\rho q}} := \Big(\sum_{j\geq 0} \Big(2^{sj} \|\varphi_j(\sqrt{L})f(\cdot)\|_{L^p}\Big)^q\Big)^{1/q}.$$

(*ii*) The "nonclassical" Besov space  $\tilde{B}_{pq}^s = \tilde{B}_{pq}^s(L)$  is defined by

$$\|f\|_{\widetilde{B}^s_{pq}} := \Big(\sum_{j\geq 0} \Big(\||B(\cdot,2^{-j})|^{-s/d}\varphi_j(\sqrt{L})f(\cdot)\|_{L^p}\Big)^q\Big)^{1/q}.$$

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#### Frame decomposition of Besov spaces

**Theorem.** Let  $s \in \mathbb{R}$  and  $0 < p, q \le \infty$ . Then for any  $f \in B_{pq}^s$ 

$$\|f\|_{B^{s}_{pq}} \sim \Big(\sum_{j\geq 0} b^{jsq} \Big[\sum_{\xi\in\mathcal{X}_{j}} \|\langle f,\tilde{\psi}_{\xi}\rangle\psi_{\xi}\|_{p}^{p}\Big]^{q/p}\Big)^{1/q}$$

and for  $f \in \tilde{B}_{pq}^s$ 

$$\|f\|_{\tilde{B}^{s}_{pq}} \sim \Big(\sum_{j\geq 0} \Big[\sum_{\xi\in\mathcal{X}_{j}} \Big(|B(\xi,b^{-j})|^{-s/d} \|\langle f,\tilde{\psi}_{\xi}\rangle\psi_{\xi}\|_{p}\Big)^{p}\Big]^{q/p}\Big)^{1/q}$$

Here b > 1 is from the definition of the frames.

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# Nonlinear *n*-term approximation from $\{\psi_{\xi}\}$

Denote by  $\Omega_n$  is the set of all functions g of the form

$$g=\sum_{\xi\in\Lambda_n}a_{\xi}\psi_{\xi},$$

where  $\Lambda_n \subset \mathcal{X}, \#\Lambda_n \leq n$ , and  $\Lambda_n$  may vary with g. Let

$$\sigma_n(f)_p := \inf_{g \in \Omega_n} \|f - g\|_{L^p}.$$

The approximation will take place in  $L^p$ ,  $1 \le p < \infty$ . Suppose s > 0 and let  $1/\tau := s/d + 1/p$ . The Besov spaces  $\tilde{B}^s_{\tau} := \tilde{B}^s_{\tau\tau}$ 

play a prominent role. Observe that

$$\|f\|_{\tilde{B}^{s}_{\tau}} \sim \left(\sum_{\xi \in \mathcal{X}} \|\langle f, \tilde{\psi}_{\xi} \rangle \psi_{\xi}\|_{p}^{\tau}\right)^{1/\tau}$$

For any  $f \in L^p$ ,  $1 \le p < \infty$ ,

## Nonlinear *n*-term approximation (Cont.)

**Proposition.** If  $f \in \tilde{B}_{\tau}^{s}$ , then *f* can be identified as a function  $f \in L^{p}$  and

$$\|f\|_{L^p} \leq \Big\|\sum_{\xi\in\mathcal{X}} |\langle f, \tilde{\psi}_{\xi} \rangle \psi_{\xi}(\cdot)|\Big\|_{L^p} \leq c \|f\|_{\tilde{B}^s_{\tau}}.$$

**Theorem.** If  $f \in \tilde{B}^s_{\tau}$ , then

$$\sigma_n(f)_p \leq cn^{-s/d} \|f\|_{\widetilde{B}^s_{\tau}}, \quad n \geq 1.$$

**Open problem:** Prove or disprove the Bernstein estimate:

$$\|g\|_{\widetilde{B}^s_{\tau}} \leq cn^{s/d} \|g\|_{L^p}$$
 for  $g \in \Omega_n, \quad 1$ 

This estimate would allow to characterize the rates of nonlinear n-term approximation from  $\{\psi_{\xi}\}_{\xi \in \mathcal{X}}$  in  $L^{p}$  (1 <  $p < \infty$ ).

日本・東本・

# Thank you!

P. Petrushev (USC) Nonlinear Approximation

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