

Programming-Based Automata Theory

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Formal (fan of McCarthy to Felleisen)
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Quién soy?

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Service

Chair TFP 2026, Odense Denmark
Steering Committee
Trends in Functional Programming

Founder

Trends in Functional Programming in Education

Member

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Implementation and Applications of Functional Languages

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Program transformations
Optimal Lambda Lifting (IFL 2007)
Memoized Bytecode Closures (TFP 2013)

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CS2 (*Animated Program Design*, Springer)

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DSLs

FSMt (come Andrés' IFL 2025 talk)

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Visualizations

Too many to list
Unrestricted Grammar Derivation (come to Andrés' IFL 2025 talk)

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Automatic FSA Testing (come to Sophia's IFL 2025 talk)

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Validation

Automatic FSA Testing (come to Sophia's IFL 2025 talk)

Error Messages

Recipe-Based Errors (come to David's and Shamil's IFL 2025 talk)

Etc.

Tutorial Outline

Regular Languages

Regular expressions

Deterministic Finite Automata

Nondeterministic Finite Automata

Tutorial Outline

Regular Languages

- Regular expressions

- Deterministic Finite Automata

- Nondeterministic Finite Automata

Context-Free Languages

- Context-free Grammars

- Pushdown Automata

- Context-Free Expressions (look for papers soon)

Tutorial Outline

Regular Languages

Regular expressions

Deterministic Finite Automata

Nondeterministic Finite Automata

Context-Free Languages

Context-free Grammars

Pushdown Automata

Context-Free Expressions (look for papers soon)

Recursively Enumerable Languages

Turing Machines

Multitape Turing Machines

Unrestricted Grammars

Course Outline

Domain-Specific Language: FSM

Design Implement Validate Verify

Course Outline

Domain-Specific Language: FSM

Design Implement Validate Verify

Systematic Design Recipes

Validation (Unit Testing and Invariant Testing)

Verification

Recipe-Based Errors

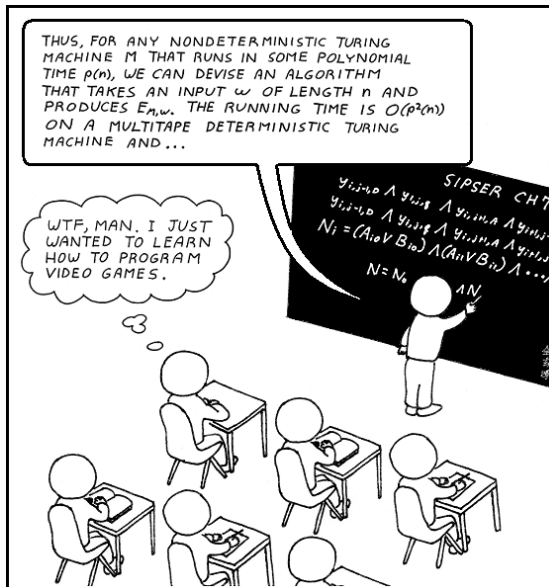
Textbook: *Programming-Based Formal Languages and Automata Theory*

Motivation

Why would you want to do programming-based CS theory?

Motivation

Why would you want to do programming-based CS theory?



Motivation

CS students dislike

Mathematical nature
Theory

Formal Notation

Lack of programming

Proofs they get wrong!

Motivation

CS students dislike

- Mathematical nature

- Theory

- Formal Notation

- Lack of programming

- Proofs they get wrong!

Constructivism in CS

- knowledge is actively constructed by students engaged in building activities

- Common denominator: interest in software development

- Tools: visualization, tutoring, simulators

- PLs: Few efforts, limited in scope: P^b

Motivation

Need to integrate PLs and tools

Motivation

Need to integrate PLs and tools

FSM: Functional State Machines

A DSL in Racket that is a home for all

Define

Validate

Visualize

Verify

Motivation

Need to integrate PLs and tools

FSM: Functional State Machines

A DSL in Racket that is a home for all

Define

Validate

Visualize

Verify

Tools are not enough

Systematic development: design recipes

Textbook: *Programming-Based Formal Languages and Automata Theory*

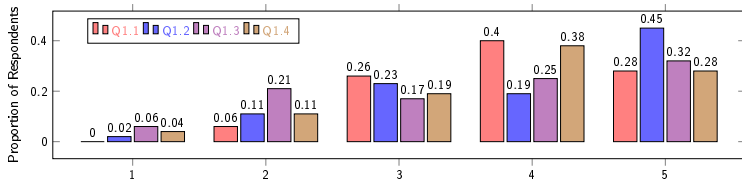
Support for validation and verification

Visualization: Norman Principles of Effective Design

Development of domain jargon

Motivation

Course Interest

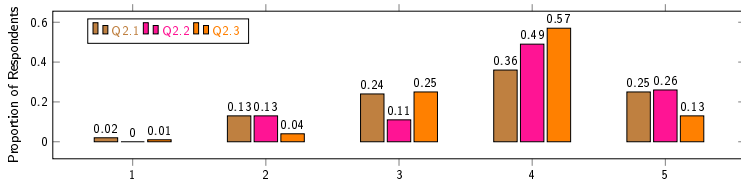


Survey Statements

- Q1.1 This course is interesting.
- Q1.2 Programming helped understand the material.
- Q1.3 Programming increased my interest in Formal Languages and Automata Theory.
- Q1.4 The course is relevant to my Computer Science education.

Motivation

Intellectual Stimulation



Survey Statements

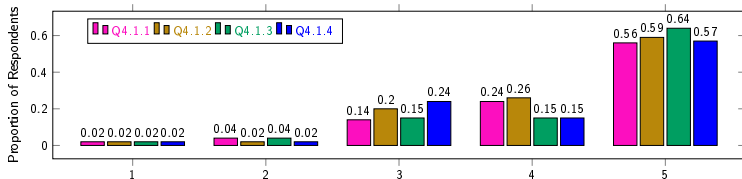
Q2.1 Automata Theory is intellectually stimulating.

Q2.2 Programming state machines grammars, and regular expressions is intellectually stimulating.

Q2.3 Programming constructive algorithms is intellectually stimulating.

Motivation

Machine simulation



- Q4.1.1 Visualizing the execution of deterministic finite state automata is useful.
- Q4.1.2 Visualizing the execution of nondeterministic finite state automata is useful.
- Q4.1.3 Visualizing the execution of pushdown automata is useful.
- Q4.1.4 Visualizing the execution of Turing machines is useful.

Motivation

Data Definitions

A state/nonterminal is in $[A-Z]$

An alphabet, Σ , is in $(\text{setof } [a-z]) \cup [0-9]$

A word is either

1. EMP
2. $(\text{listof } i), i \in \Sigma$

Regular Expressions

A regular expression, over an alphabet Σ , is an FSM type instance:

1. (**empty-regexp**)
2. (**singleton-regexp** "a"), where $a \in \Sigma$
3. (**union-regexp** r_1 r_2), where r_1 and r_2 are regular expressions
4. (**concat-regexp** r_1 r_2), where r_1 and r_2 are regular expressions
5. (**kleenestar-regexp** r), where r is a regular expression
6. (**null-regexp**) **Not in our focus today**

Regular Expressions

A regular expression, over an alphabet Σ , is an FSM type instance:

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4. (**concat-regexp** r_1 r_2), where r_1 and r_2 are regular expressions
5. (**kleenestar-regexp** r), where r is a regular expression
6. (**null-regexp**) **Not in our focus today**

$L(r)$ = language of r

A language that is described by a regular expression is called a *regular language*.

Regular Expressions

Selectors

singleton-regexp-a kleenestar-regexp-r1

union-regexp-r1 union-regexp-r2

concat-regexp-r1 concat-regexp-r2

Regular Expressions

Selectors

singleton-regexp-a

kleenestar-regexp-r1

union-regexp-r1

union-regexp-r2

concat-regexp-r1

concat-regexp-r2

Predicates

empty-regexp?

singleton-regexp?

union-regexp?

concat-regexp?

kleenestar-regexp?

Regular Expressions

Selectors

singleton-regexp-a kleenestar-regexp-r1

union-regexp-r1 union-regexp-r2

concat-regexp-r1 concat-regexp-r2

Predicates

empty-regexp? singleton-regexp?

union-regexp? concat-regexp?

kleenestar-regexp?

Function Template

;; regexp ... → ...

;; Purpose: ...

```
(define (f-on-regexp rexp ...)
  (cond [(empty-regexp? rexp) ...]
        [(singleton-regexp? rexp)
         ... (f-on-string (singleton-regexp-a rexp)) ...]
        [(kleenestar-regexp? rexp)
         ... (f-on-regexp (kleenestar-regexp-r1 rexp)) ...]
        [(union-regexp? rexp)
         ... (f-on-regexp (union-regexp-r1 rexp)) ...
         ... (f-on-regexp (union-regexp-r2 rexp)) ...]
        [else ... (f-on-regexp (concat-regexp-r1 rexp)) ...
         ... (f-on-regexp (concat-regexp-r2 rexp)) ...]))
```

Regular Expressions

More observers

gen-regexp-word: Nondeterministically generates a word in the language of the given regexp

Regular Expressions

More observers

gen-regexp-word: Nondeterministically generates a word in the language of the given regexp

printable-regexp: Transforms the given r to a string

Regular Expressions

Design Recipe for Regular Expressions

- 1 Identify the input alphabet, pick a name for the regular expression, and describe the language
- 2 Identify the sublanguages and outline how to compose them
- 3 Define a predicate to determine if a word is in the target language
- 4 Write unit tests
- 5 Define the regular expression
- 6 Run the tests and, if necessary, debug by revisiting the previous steps
- 7 Prove that the regular expression is correct

Regular Expressions

Problem

DNA: arbitrary number of four nucleotide bases:

adenine (a) guanine (g) cytosine(c) thymine (t)

Certain genetic disorders, such as Huntington's disease, are characterized by containing the subsequence `cag` repeated two or more times in a row.

To help test programs written to detect this disorder, it is useful to generate DNA sequences that contain such a subsequence. Design and implement a regular expression to generate DNA sequences with `cag` repeated two or more times in a row.

Regular Expressions

Step 3:

$L = \{w \mid cagcag \in w\}$

```
(define DISORDER-DNA
  (let* [(SIGMA '(a c g t))
        ...]
```

`#:sigma SIGMA`

Regular Expressions

Step 3:

$L = \{w \mid cagcag \in w\}$

```
(define DISORDER-DNA
  (let* [(SIGMA '(a c g t))
        ...]
```

```
#:sigma SIGMA
```

```
#:pred in-DISORDER-DNA?
```

Regular Expressions

Step 3:

$L = \{w \mid cagcag \in w\}$

```
(define DISORDER-DNA
  (let* [(SIGMA '(a c g t))
        ...]
```

```
#:sigma SIGMA
```

```
#:pred in-DISORDER-DNA?
```

```
#:gen-cases 10
```

```
#:in-lang '((c a g c a g) (g t c a g c a g t g))
```

```
#:not-in-lang '((c g a t) (g t c a g a t)))
```

Regular Expressions

Step 3:

$L = \{w \mid \text{cagcag} \in w\}$

```
(define DISORDER-DNA
```

```
  (let* [(SIGMA '(a c g t))
         ...]
```

```
    (concat-regexp DNA (concat-regexp CAG++ DNA)
```

```
      #:sigma SIGMA
```

```
      #:pred in-DISORDER-DNA?
```

```
      #:gen-cases 10
```

```
      #:in-lang '((c a g c a g) (g t c a g c a g t g))
```

```
      #:not-in-lang '((c g a t) (g t c a g a t)))
```

Regular Expressions

*;; L=BASE**

```
(DNA (kleenestar-regexp BASE
  #:sigma SIGMA
  #:pred
    (λ (w)
      (andmap (λ (s) (list? (member s SIGMA)))
        (word2lst w)))
  #:gen-cases 10
  #:in-lang '((g) () (t g g) (c g a) (a a t))
  #:not-in-lang '()))
```

Regular Expressions

$L = \{a\} \cup \{c\} \cup \{g\} \cup \{t\}$

(BASE

(union-regexp

A

(union-regexp C (union-regexp G T))

#:sigma SIGMA

#:pred (λ (w)

(and (not-EMP? w)

(= (length w) 1)

(list? (member (first w) SIGMA))))

#:gen-cases 10

#:in-lang '((g) (t) (a) (c))

#:not-in-lang '((a a t) (g a t c))))

Regular Expressions

$L = CAG CAG^+$

```
(CAG++ (concat-regexp CAG CAG+
  #:sigma '(c a g)
  #:pred (lambda (w)
    (and (not-EMP? w)
          (>= (length w) 6)
          (lst-of-cag? w)))
  #:gen-cases 10
  #:in-lang '((c a g c a g c a g))
  #:not-in-lang '((a a c a g c g g))))
```

Regular Expressions

$L = CAG^+$

```
(CAG+ (concat-regexp CAG CAG*
      #:sigma '(c a g)
      #:pred ( $\lambda$  (w)
                (and (not-EMP? w)
                     (>= (length w) 3)
                     (1st-of-cag? w))))
      #:gen-cases 10
      #:in-lang '((c a g))
      #:not-in-lang '((c a g c g g))))
```

Regular Expressions

:: $L = CAG^*$

```
(CAG* (kleenestar-regexp CAG
      #:sigma '(c a g)
      #:pred lst-of-cag?
      #:gen-cases 10
      #:in-lang '(() (c a g) (c a g c a g))
      #:not-in-lang '((g g g) (a c g c a a))))
```

Regular Expressions

```
;; L={c a g}
(CAG (concat-regexp C (concat-regexp A G)
  #:sigma '(c a g)
  #:pred ( $\lambda$  (w)
    (and (not-EMP? w)
      (equal? w '(c a g)))))
  #:gen-cases 1
  #:in-lang '((c a g))
  #:not-in-lang '((c a g c a g))))
```

Regular Expressions

$;; L = \{c\}$
(C (singleton-regexp "c"))

$;; L = \{a\}$
(A (singleton-regexp "a"))

$;; L = \{g\}$
(G (singleton-regexp "g"))

$;; L = \{t\}$
(T (singleton-regexp "t"))

Regular Expressions

Document for code readers

;;word \rightarrow boolean

;;Purpose: Determines if the given word is in

;; L(DISORDER-DNA)

```
(define (in-DISORDER-DNA? w)
  (let [(L (word2lst w))]
    (and (not (empty? L))
         (>= (length L) 6)
         (or (equal? (take L 6) '(c a g c a g))
              (in-DISORDER-DNA? (rest L))))))
```

Regular Expressions

Document for code readers

;;word \rightarrow boolean

;;Purpose: Determines if the given word is in

;; L(DISORDER-DNA)

```
(define (in-DISORDER-DNA? w)
  (let [(L (word2lst w))]
    (and (not (empty? L))
          (>= (length L) 6)
          (or (equal? (take L 6) '(c a g c a g))
              (in-DISORDER-DNA? (rest L))))))
```

;; word \rightarrow Boolean

*;; Purpose: Determine if given list is (c a g)**

```
(define (lst-of-cag? w)
  (let [(L (word2lst w))]
    (or (empty? L)
        (and (= (remainder (length L) 3) 0)
              (equal? (take L 3) '(c a g))
              (lst-of-cag? (drop L 3))))))
```

Regular Expressions

```
:: word  $\rightarrow$  (listof base)  
:: Purpose: Convert given word to a list  
(define (word2lst w)  
  (if (eq? w EMP) '() w))
```

```
:: word  $\rightarrow$  Boolean  
:: Purpose: Determine that given word is not empty  
(define (not-EMP? w) (not (eq? w EMP)))
```


Regular Expressions

Document for code readers

```
(check-gen? in-DISORDER-DNA?
  '(c a g c a g)
  '(a g g t c c a g c a g t a g))
(check-not-gen? in-DISORDER-DNA?
  '()
  '(a c g t)
  '(c a t c c a a)
  '(t g a c a g t a g))
(for-each (λ (w)
  (check-gen? in-DISORDER-DNA? w))
  (build-list
    20
    (λ (i)
      (gen-regexp-word DISORDER-DNA))))
```

Regular Expressions

Correctness

$L = \{w \mid cagcag \in w\}$

```
(define DISORDER-DNA  
  (concat-regexp DNA (concat-regexp CAG++ DNA)))
```

Regular Expressions

Correctness

$L = \{w \mid cagcag \in w\}$

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(define DISORDER-DNA
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Assume subexpressions are correct

Regular Expressions

Correctness

$L = \{w \mid \text{cagcag} \in w\}$

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(define DISORDER-DNA
  (concat-regexp DNA (concat-regexp CAG++ DNA)))
```

Assume subexpressions are correct

DNA generates an arbitrary dna strand

CAG++ generates 2 or more cag strands

Therefore, DISORDER-DNA generates an arbitrary strand with at least 2 cag strands

Regular Expressions

Correctness

$L = \{w \mid \text{cagcag} \in w\}$

```
(define DISORDER-DNA
  (concat-regexp DNA (concat-regexp CAG++ DNA)))
```

Assume subexpressions are correct

DNA generates an arbitrary dna strand

CAG++ generates 2 or more cag strands

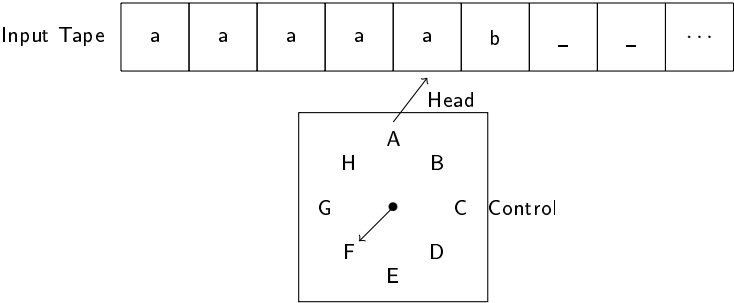
Therefore, DISORDER-DNA generates an arbitrary strand with at least 2 cag strands

Do the same for the subexpressions

Regular Expressions

Exercise: $L = aa(ba \cup bb)^*aa$

Finite-State Machines



Finite-State Machines

Constructors

make-dfa:	K	Σ		s	F	Δ	\rightarrow	dfa	
make-ndfa:	K	Σ		s	F	δ	\rightarrow	ndfa	
make-ndpda:	K	Σ	Γ	s	F	δ	\rightarrow	pda	
make-tm:	K	Σ	δ	s	F	[a]	\rightarrow	tm	
make-mttm:	K	Σ	s	F	δ	n	[a]	\rightarrow	mttm

Finite-State Machines

Constructors

make-dfa:	K	Σ		s	F	Δ	\rightarrow	dfa	
make-ndfa:	K	Σ		s	F	δ	\rightarrow	ndfa	
make-ndpda:	K	Σ	Γ	s	F	δ	\rightarrow	pda	
make-tm:	K	Σ	δ	s	F	[a]	\rightarrow	tm	
make-mttm:	K	Σ	s	F	δ	n	[a]	\rightarrow	mttm

Observers

`sm-states` `sm-sigma` `sm-start` `sm-finals` `sm-rules` `sm-gamma`

`sm-apply` `sm-showtransitions`

Finite-State Machines

Constructors

make-dfa:	K	Σ		s	F	Δ	\rightarrow	dfa	
make-ndfa:	K	Σ		s	F	δ	\rightarrow	ndfa	
make-ndpda:	K	Σ	Γ	s	F	δ	\rightarrow	pda	
make-tm:	K	Σ	δ	s	F	[a]	\rightarrow	tm	
make-mttm:	K	Σ	s	F	δ	n	[a]	\rightarrow	mttm

Observers

`sm-states` `sm-sigma` `sm-start` `sm-finals` `sm-rules` `sm-gamma`

`sm-apply` `sm-showtransitions`

Visualizations

`sm-graph` `sm-cmpgraph`

`sm-viz` `ndfa2dfa-viz` `union-viz`
`concat-viz` `ndfa2regexp-viz` `regex2ndfa-viz`

and more...

Finite-State Machines

Constructors

<code>make-dfa:</code>	K	Σ		s	F	Δ	\rightarrow	<code>dfa</code>	
<code>make-ndfa:</code>	K	Σ		s	F	δ	\rightarrow	<code>ndfa</code>	
<code>make-ndpda:</code>	K	Σ	Γ	s	F	δ	\rightarrow	<code>pda</code>	
<code>make-tm:</code>	K	Σ	δ	s	F	$[a]$	\rightarrow	<code>tm</code>	
<code>make-mttm:</code>	K	Σ	s	F	δ	n	$[a]$	\rightarrow	<code>mttm</code>

Observers

`sm-states` `sm-sigma` `sm-start` `sm-finals` `sm-rules` `sm-gamma`

`sm-apply` `sm-showtransitions`

Visualizations

`sm-graph` `sm-cmpgraph`

`sm-viz` `ndfa2dfa-viz` `union-viz`
`concat-viz` `ndfa2regexp-viz` `regexp2ndfa-viz`

and more...

Testing

RBEs (David's and Shamil's talk @ IFL 2025)

FSMt (Andrés' talk @ IFL 2025)

Automatic (Sophia's talk @ IFL 2025)

Finite-State Machines

- 1 Name the machine and specify alphabets
- 2 Write unit tests
- 3 Identify conditions that must be tracked as input is consumed, associate a state with each condition, and determine the start and final states.
- 4 Formulate the transition relation
- 5 Implement the machine
- 6 Test the machine
- 7 Design, implement, and test an invariant predicate for each state
- 8 Prove $L = L(M)$

Finite-State Machines

$$L = \{\epsilon\} \cup aa^* \cup ab^*$$

```
(define LNDFFA  
  (make-ndfa
```

```
    '(a b)
```

Finite-State Machines

$L = \{\epsilon\} \cup aa^* \cup ab^*$

```
(define LNDFFA
  (make-ndfa
```

```
    '(a b)
```

```
    #:rejects '((b a) (a b a) (a a b a))
```

```
    #:accepts '(() (a a) (a b b b b))))
```

```
(check-reject? LNDFFA '(a b a) '(b b b b b)
```

```
    '(a b b b b a a a))
```

```
(check-accept? LNDFFA '() '(a) '(a a a a) '(a b b))
```

Finite-State Machines

```
;; L = {ε} U aa* U ab*
```

```
;; State Documentation
```

```
;; S: ci = empty, starting state    A: ci = ab*, final state
```

```
;; F: ci = empty, final state      B: ci = aa*, final state
```

```
(define LNDFFA
```

```
  (make-ndfa
```

```
    '(S A B F)
```

```
    '(a b)
```

```
    'S
```

```
    '(A B F)
```

```
#:rejects '((b a) (a b a) (a a b a))
```

```
#:accepts '(() (a a) (a b b b b)))
```

```
(check-reject? LNDFFA '(a b a) '(b b b b b))
```

```
          '(a b b b b a a a))
```

```
(check-accept? LNDFFA '() '(a) '(a a a a) '(a b b))
```

Finite-State Machines

:: $L = \{\epsilon\} \cup aa^ \cup ab^*$*

:: State Documentation

:: S: ci = empty, starting state A: ci = ab, final state*

:: F: ci = empty, final state B: ci = aa, final state*

```
(define LNDFFA
  (make-ndfa
    '(S A B F)
    '(a b)
    'S
    '(A B F)
    `((S a A) (S a B) (S ,EMP F)
      (A b A)
      (B a B))
    #:rejects '((b a) (a b a) (a a b a))
    #:accepts '((() (a a) (a b b b b))))
  (check-reject? LNDFFA '(a b a) '(b b b b b)
    '(a b b b b a a a))
  (check-accept? LNDFFA '() '(a) '(a a a a) '(a b b)))
```


Finite-State Machines

;; word → Boolean

;; Purpose: Determine if the given word is empty

```
(define S-INV empty?)
```

;; word → Boolean

;; Purpose: Determine if the given word is empty

```
(define F-INV empty?)
```

;; word → Boolean

*;; Purpose: Determine if the given word is in aa**

```
(define (B-INV ci)
  (and (not (empty? ci))
        (andmap (λ (s) (eq? s 'a)) ci)))
```

;; word → Boolean

*;; Purpose: Determine if the given word is in ab**

```
(define (A-INV ci)
  (and (not (empty? ci)) (eq? (first ci) 'a)
        (andmap (λ (s) (eq? s 'b)) (rest ci))))
```

Finite-State Machines

- * Correctness of M
 - a. Prove the state invariants hold when M is applied to $w \in L(M)$
 - b. Prove that $L = L(M)$
- * This approach of for dfas, ndfas, and pdas

Finite-State Machines

Theorem

State invariants hold when LDNFA is applied to $w \in L(LNDFA)$.

Proof.

Proof by induction on n = the number of steps M performs to consume w .

Base Case: $n = 0$

If n is 0 then the consumed input must be ϵ and machine is in S . Clearly, $S \text{--} INV$. □

Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



(S, EMP F) By IH, S-INV holds. After consuming no input,
 $c_i = \text{EMP}$. Thus, F-INV holds.

Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



(S, EMP, F) By IH, S-INV holds. After consuming no input, $ci = \text{EMP}$. Thus, F-INV holds.

(S, a, A) By IH, S-INV holds, which means $ci = \text{EMP}$. After consuming a , $ci = a$. Thus, $ci \in ab^*$ and A-INV holds.

Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



(S ,EMP F) By IH, S-INV holds. After consuming no input, $ci=EMP$. Thus, F-INV holds.

(S a A) By IH, S-INV holds, which means $ci=EMP$. After consuming a , $ci=a$. Thus, $ci \in ab^*$ and A-INV holds.

(S a B) By IH, S-INV holds, which means $ci=EMP$. After consuming a , $ci \in aa^*$ and B-INV holds.

Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



(S ,EMP F) By IH, S-INV holds. After consuming no input, $ci=EMP$. Thus, F-INV holds.

(S a A) By IH, S-INV holds, which means $ci=EMP$. After consuming a , $ci=a$. Thus, $ci \in ab^*$ and A-INV holds.

(S a B) By IH, S-INV holds, which means $ci=EMP$. After consuming a , $ci \in aa^*$ and B-INV holds.

(A b A) By IH, A-INV holds, which means $ci \in ab^*$. After consuming b , $ci \in ab^*$ and A-INV holds.

Finite-State Machines

Proof.

Inductive Step:

Assume: State invariants hold for $n = k$.

Show: State invariants hold for $n = k+1$.

Consider each transition:



(S, EMP, F) By IH, S-INV holds. After consuming no input, $ci = \text{EMP}$. Thus, F-INV holds.

(S a A) By IH, S-INV holds, which means $ci = \text{EMP}$. After consuming a , $ci = a$. Thus, $ci \in ab^*$ and A-INV holds.

(S a B) By IH, S-INV holds, which means $ci = \text{EMP}$. After consuming a , $ci \in aa^*$ and B-INV holds.

(A b A) By IH, A-INV holds, which means $ci \in ab^*$. After consuming b , $ci \in ab^*$ and A-INV holds.

(B a B) By IH, B-INV holds, which means $ci \in aa^*$. After consuming a , $ci \in aa^*$ and B-INV holds.

Finite-State Machines

Theorem

$$L = L(LN DFA)$$

Finite-State Machines

Theorem

$$L = L(LNDFA)$$

$$\underline{w \in L \Rightarrow w \in L(LNDFA)}$$

Assume $w \in L$. This means $w = \text{EMP} \vee w \in ab^* \vee w \in aa^*$. There is a computation for each possible instance of w :

$$w = \text{EMP}: (S, \text{EMP}) \vdash (F, \text{EMP})$$

$$w \in ab^*: (S, ab^*) \vdash (A, b^*) \vdash^* (A, \text{EMP})$$

$$w \in aa^*: (S, aa^*) \vdash (B, a^*) \vdash^* (B, \text{EMP})$$

Thus, $w \in L(LNDFA)$.

Finite-State Machines

Theorem

$$L = L(\text{LNDFFA})$$

$$\underline{w \in L \Rightarrow w \in L(\text{LNDFFA})}$$

Assume $w \in L$. This means $w = \text{EMP} \vee w \in ab^* \vee w \in aa^*$. There is a computation for each possible instance of w :

$$w = \text{EMP}: (S, \text{EMP}) \vdash (F, \text{EMP})$$

$$w \in ab^*: (S, ab^*) \vdash (A, b^*) \vdash^* (A, \text{EMP})$$

$$w \in aa^*: (S, aa^*) \vdash (B, a^*) \vdash^* (B, \text{EMP})$$

Thus, $w \in L(\text{LNDFFA})$.

$$\underline{w \in L(\text{LNDFFA}) \Rightarrow w \in L}$$

Assume $w \in L(\text{LNDFFA})$. This means LNDFFA halts in F, B, or A after consuming w . Given that invariants always hold, $w \in L$.

Finite-State Machines

Theorem

$$L = L(\text{LNDFFA})$$

$$\underline{w \in L \Rightarrow w \in L(\text{LNDFFA})}$$

Assume $w \in L$. This means $w = \text{EMP} \vee w \in ab^* \vee w \in aa^*$. There is a computation for each possible instance of w :

$$w = \text{EMP}: (S, \text{EMP}) \vdash (F, \text{EMP})$$

$$w \in ab^*: (S, ab^*) \vdash (A, b^*) \vdash^* (A, \text{EMP})$$

$$w \in aa^*: (S, aa^*) \vdash (B, a^*) \vdash^* (B, \text{EMP})$$

Thus, $w \in L(\text{LNDFFA})$.

$$\underline{w \in L(\text{LNDFFA}) \Rightarrow w \in L}$$

Assume $w \in L(\text{LNDFFA})$. This means LNDFFA halts in F, B, or A after consuming w . Given that invariants always hold, $w \in L$.

* $w \notin L \Leftrightarrow w \notin L(\text{LNDFFA})$ by contraposition.

Finite-State Machines

An alternative design (using closure properties of regular languages)

Finite-State Machines

An alternative design (using closure properties of regular languages)

:: $L = \{\epsilon\}$

:: State Documentation: $S: ci = \text{empty}$

(define E (make-ndfa '(S) '(a b) 'S '(S) '()))

Finite-State Machines

An alternative design (using closure properties of regular languages)

```
:: L = {ε}
```

```
:: State Documentation: S: ci = empty
```

```
(define E (make-ndfa '(S) '(a b) 'S '(S) '()))
```

```
:: L = aa*
```

```
:: State Documentation
```

```
:: S: ci = empty F: ci = a+
```

```
(define A+ (make-ndfa '(S F) '(a b) 'S '(F)
  '((S a F) (F a F))))
```


Finite-State Machines

An alternative design (using closure properties of regular languages)

```
:: L = {ε}
```

```
:: State Documentation: S: ci = empty
```

```
(define E (make-ndfa '(S) '(a b) 'S '(S) '()))
```

```
:: L = aa*
```

```
:: State Documentation
```

```
:: S: ci = empty F: ci = a+
```

```
(define A+ (make-ndfa '(S F) '(a b) 'S '(F)
  '((S a F) (F a F))))
```

```
:: L = ab*
```

```
:: State Documentation
```

```
:: S: ci = empty F: ci = a+
```

```
(define AB* (make-ndfa '(S F) '(a b) 'S '(F)
  '((S a F) (F b F))))
```

Finite-State Machines

An alternative design (using closure properties of regular languages)

```
:: L = {ε}
```

```
:: State Documentation: S: ci = empty
```

```
(define E (make-ndfa '(S) '(a b) 'S '(S) '()))
```

```
:: L = aa*
```

```
:: State Documentation
```

```
:: S: ci = empty F: ci = a+
```

```
(define A+ (make-ndfa '(S F) '(a b) 'S '(F)
  '((S a F) (F a F))))
```

```
:: L = ab*
```

```
:: State Documentation
```

```
:: S: ci = empty F: ci = a+
```

```
(define AB* (make-ndfa '(S F) '(a b) 'S '(F)
  '((S a F) (F b F))))
```

```
:: L = {ε} U aa* U ab*
```

```
(define LNDFA (sm-union E (sm-union A+ AB*)))
```

Finite-State Machines

Exercise: $\Sigma = \{c, d\}$. Design, implement, & verify a dfa for:
 $L = \{w \mid w \text{ has even number of } c\text{'s and even number of } d\text{'s}\}$

Context-Free Grammars

Constructor

`make-cfg`: $N \ T \ R \ S \rightarrow \text{cfg}$

* $R \in \{N \rightarrow \{N \cup T \cup \{\epsilon\}\}^+\}$

Context-Free Grammars

Constructor

`make-cfg: N T R S \rightarrow cfg`

* $R \in \{N \rightarrow \{N \cup T \cup \{\epsilon\}\}^+\}$

Observers

`grammar-nts` `grammar-sigma` `grammar-rules`
`grammar-start` `grammar-derive`

Context-Free Grammars

Constructor

`make-cfg: N T R S \rightarrow cfg`

* $R \in \{N \rightarrow \{N \cup T \cup \{\epsilon\}\}^+\}$

Observers

`grammar-nts` `grammar-sigma` `grammar-rules`
`grammar-start` `grammar-derive`

Testing

`check-derive?` `check-not-derive?`

`#:accepts` `#:rejects`

Context-Free Grammars

Constructor

`make-cfg: N T R S \rightarrow cfg`

* $R \in \{N \rightarrow \{N \cup T \cup \{\epsilon\}\}^+\}$

Observers

`grammar-nts` `grammar-sigma` `grammar-rules`
`grammar-start` `grammar-derive`

Testing

`check-derive?` `check-not-derive?`

`#:accepts` `#:rejects`

Visualization

`grammar-viz`

Context-Free Grammars

1. Pick a name for the grammar and specify the alphabet
2. Define each syntactic category and associate each with a nonterminal clearly specifying the starting nonterminal
3. Develop the production rules
4. Write unit tests
5. Implement the grammar
6. Run the tests and redesign if necessary
7. For each syntactic category design and implement an invariant predicate to determine if a given word satisfies the role of the syntactic category
8. For words in $L(G)$ prove that the invariant predicates hold for every derivation step.
9. Prove that $L = L(G)$

Context-Free Grammars

$;; L = \{ww^r \mid w \text{ in } \{a, b\}^*\}$

```
(define pali  
  (make-cfg
```

```
    '(a b)
```

Context-Free Grammars

```
:: L = {ww^r | w in {a, b}*}  
:: Syntactic Categories Documentation  
:: S: generates a palindrome, starting nt  
(define pali  
  (make-cfg  
    '(S)  
    '(a b)  
  
    'S
```

Context-Free Grammars

:: $L = \{ww^r \mid w \text{ in } \{a, b\}^\}$*

:: Syntactic Categories Documentation

:: S: generates a palindrome, starting nt

```
(define pali
  (make-cfg
    '(S)
    '(a b)
    `((S ,ARROW ,EMP) (S ,ARROW a) (S ,ARROW b)
      (S ,ARROW aSa) (S ,ARROW bSb))
    'S
```

Context-Free Grammars

:: $L = \{ww^r \mid w \text{ in } \{a, b\}^\}$*

:: Syntactic Categories Documentation

:: S: generates a palindrome, starting nt

```
(define pali
  (make-cfg
    '(S)
    '(a b)
    `((S ,ARROW ,EMP) (S ,ARROW a) (S ,ARROW b)
      (S ,ARROW aSa) (S ,ARROW bSb)))
    'S
    #:rejects '((a b) (b a a) (a b b b))
    #:accepts '(() (a) (b) (a a b b a a))))
```

```
(check-not-derive? pali '(a a a b) '(b b a))
```

```
(check-derive? pali '(a a a) '(b b b b)
  '(a b b a a a a b b a))
```

Context-Free Grammars

$L = \{ww^r \mid w \text{ in } \{a, b\}^*\}$

$;; \text{word} \rightarrow \text{Boolean}$

;; Purpose: Determine if S should generate the given word

```
(define (S-INV wrd)
  (let* [(wlen (quotient (length wrd) 2))
        (w (take wrd wlen))
        (wrd-w (drop wrd wlen))
        (w^r (if (= (length w) (length wrd-w))
                  wrd-w
                  (rest wrd-w)))]
    (equal? w (reverse w^r))))
```

```
(check-inv-fails? S-INV '(b a b a)
                  '(a a a a a b))
(check-inv-holds? S-INV '(a a) '(a b a)
                  '(b b a b b b a b b))
```

Context-Free Grammars

Theorem

Invariants hold deriving $w \in L(\text{pali})$.

Proof.

Proof by induction on n = the height of derivation tree.

Base Case: $n = 1$

Yield is either EMP, a , or b . For all, w and w^r equal EMP.

Thus, S-INV holds. □

Context-Free Grammars

Inductive Step:

Assume: NT invariants hold for $n = k$.

Show: NT invariants hold for $n = k+1$.

Context-Free Grammars

Inductive Step:

Assume: NT invariants hold for $n = k$.

Show: NT invariants hold for $n = k+1$.

Consider each production for $n = k+1$:

($S \rightarrow aSa$) By IH, S-INV holds. This means (rhs) S generates wcw^r , where $c \in \{a, b, \text{EMP}\}$. By using this rule, the yield is $awcw^ra$. Observe that $(w^ra)^r = a(w^r)^r = aw$.

Thus, S-INV holds.

Context-Free Grammars

Inductive Step:

Assume: NT invariants hold for $n = k$.

Show: NT invariants hold for $n = k+1$.

Consider each production for $n = k+1$:

($S \rightarrow aSa$) By IH, S-INV holds. This means (rhs) S generates wcw^r , where $c \in \{a, b, \text{EMP}\}$. By using this rule, the yield is $awcw^ra$. Observe that $(w^ra)^r = a(w^r)^r = aw$.

Thus, S-INV holds.

($S \rightarrow bSb$) By IH, S-INV holds. This means (rhs) S generates wcw^r , where $c \in \{a, b, \text{EMP}\}$. By using this rule, the yield is $bwcw^rb$. Observe that $(w^rb)^r = b(w^r)^r = bw$.

Thus, S-INV holds.

Context-Free Grammars

Theorem
 $L = L(\textit{pali})$

Context-Free Grammars

Theorem

$$L = L(\text{pali})$$

$$w \in L \Rightarrow w \in L(\text{pali})$$

Assume $w \in L$. This means $w = aPa$ or $w = bPb$, where P is a palindrome. S generates w by repeatedly applying $S \rightarrow aSa$ or $S \rightarrow bSb$ until $S \rightarrow \epsilon$, $S \rightarrow a$, or $S \rightarrow b$ is applied. Thus, $w \in L(\text{pali})$.

Context-Free Grammars

Theorem

$$L = L(\text{pali})$$

$$w \in L \Rightarrow w \in L(\text{pali})$$

Assume $w \in L$. This means $w = aPa$ or bPb , where P is a palindrome. S generates w by repeatedly applying $S \rightarrow aSa$ or $S \rightarrow bSb$ until $S \rightarrow \epsilon$, $S \rightarrow a$, or $S \rightarrow b$ is applied. Thus, $w \in L(\text{pali})$.

$$w \in L(\text{pali}) \Rightarrow w \in L$$

Assume $w \in L(\text{pali})$. This means w is generated by S . Given that invariants always hold, $w \in L$.

Context-Free Grammars

EXERCISE

Design and implement a grammar for:

$L = \{w \mid w \text{ has balanced parenthesis}\}$, where $o = ($ and $c =)$.

Pushdown Automata

Would like to have a machine that decides if a given word is a member of a CFL

What should such a machine look like?

Pushdown Automata

Would like to have a machine that decides if a given word is a member of a CFL

What should such a machine look like?

Write a program to decide $a^n b^n$

Call an auxiliary function that takes as input w 's sub-word without the leading a 's, if any, and an accumulator with w 's leading a 's

3 conditions:

1. If the given word is empty then testing if the given accumulator is empty is returned.
2. If the first element of the given word is a then false is returned.
3. Otherwise, return the conjunction of testing if the accumulator is not empty and checking the rest of both the given word and the given accumulator.

Pushdown Automata

```
#lang fsm
;; word → Boolean
;; Purpose: Decide if given word is in  $a^nb^n$ 
(define (is-in-anbn? w)
```

```
;; Tests for is-in-anbn?
(check-pred (λ (w) (not (is-in-anbn? w))) '(a))
(check-pred (λ (w) (not (is-in-anbn? w))) '(b b))
(check-pred (λ (w) (not (is-in-anbn? w))) '(a b b))
(check-pred (λ (w) (not (is-in-anbn? w))) '(a b a a b b))
(check-pred is-in-anbn? '())
(check-pred is-in-anbn? '(a a b b))
```


Pushdown Automata

```
#lang fsm
;; word → Boolean
;; Purpose: Decide if given word is in  $a^nb^n$ 
(define (is-in-anbn? w)
```

```
(check (drop w (λ (s) (eq? s 'a))) ;; everything after initial a's
  (takef w (λ (s) (eq? s 'a)))) ;; the initial a's
```

```
;; Tests for is-in-anbn?
```

```
(check-pred (λ (w) (not (is-in-anbn? w))) '(a))
(check-pred (λ (w) (not (is-in-anbn? w))) '(b b))
(check-pred (λ (w) (not (is-in-anbn? w))) '(a b b))
(check-pred (λ (w) (not (is-in-anbn? w))) '(a b a a b b))
(check-pred is-in-anbn? '())
(check-pred is-in-anbn? '(a a b b))
```

Pushdown Automata

```
#lang fsm
;; word → Boolean
;; Purpose: Decide if given word is in  $a^nb^n$ 
(define (is-in-anbn? w)

  ;; word (listof symbol) → Boolean
  ;; Purpose: Determine if first given word has only bs that match as in the
  ;; second given word
  ;; Accumulator Invariant
  ;; acc = the unmatched a's at the beginning of w
  ;; Assume: w in (a b)*
  (define (check wrd acc)
    (cond [(empty? wrd) (empty? acc)]
          [(eq? (first wrd) 'a) #f]
          [else (and (not (empty? acc))
                     (check (rest wrd) (rest acc)))]))

  (check (dropf w (λ (s) (eq? s 'a))) ;; everything after initial a's
         (takef w (λ (s) (eq? s 'a)))) ;; the initial a's

  ;; Tests for is-in-anbn?
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(b b))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a b b))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a b a a b b))
  (check-pred is-in-anbn? '())
  (check-pred is-in-anbn? '(a a b b))
```

Pushdown Automata

```
#lang fsm
;; word → Boolean
;; Purpose: Decide if given word is in  $a^nb^n$ 
(define (is-in-anbn? w)

  ;; word (listof symbol) → Boolean
  ;; Purpose: Determine if first given word has only bs that match as in the
  ;; second given word
  ;; Accumulator Invariant
  ;; acc = the unmatched a's at the beginning of w
  ;; Assume: w in (a b)*
  (define (check wrd acc)
    (cond [(empty? wrd) (empty? acc)]
          [(eq? (first wrd) 'a) #f]
          [else (and (not (empty? acc))
                     (check (rest wrd) (rest acc)))]))

  (check (dropf w (λ (s) (eq? s 'a))) ;; everything after initial a's
        (takef w (λ (s) (eq? s 'a)))) ;; the initial a's

  ;; Tests for is-in-anbn?
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(b b))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a b b))
  (check-pred (λ (w) (not (is-in-anbn? w))) '(a b a a b b))
  (check-pred is-in-anbn? '())
  (check-pred is-in-anbn? '(a a b b))
```

The acc is a stack

The program first pushes all the as at the beginning of the given word onto the accumulator

The auxiliary function pops an a for each recursive call

Suggests extending an ndfa with a stack to remember part of the consumed input

Pushdown Automata

A (nondeterministic) pushdown automaton, pda, is an instance of:

(**make—ndpda** $K \Sigma \Gamma S F \delta$)

Pushdown Automata

A (nondeterministic) pushdown automaton, pda, is an instance of:

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The transition relation, δ , is a finite subset of:

$((K \times (\Sigma \cup \{EMP\})) \times \Gamma^+ \cup \{EMP\}) \times (K \times \Gamma^+ \cup \{EMP\})).$

Pushdown Automata

A (nondeterministic) pushdown automaton, pda, is an instance of:

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The transition relation, δ , is a finite subset of:

$((K \times (\Sigma \cup \{EMP\}) \times \Gamma^+ \cup \{EMP\}) \times (K \times \Gamma^+ \cup \{EMP\})).$

$((A \ a \ p) \ (B \ g))$ means that the machine is in state A, reads a from the input tape, pops p off the stack, pushes g onto the stack, and moves to B

May be nondeterministic

Pushdown Automata

A (nondeterministic) pushdown automaton, pda, is an instance of:

(make—ndpda $K \Sigma \Gamma S F \delta$)

The transition relation, δ , is a finite subset of:

$((K \times (\Sigma \cup \{EMP\}) \times \Gamma^+ \cup \{EMP\}) \times (K \times \Gamma^+ \cup \{EMP\})).$

$((A \ a \ p) \ (B \ g))$ means that the machine is in state A, reads a from the input tape, pops p off the stack, pushes g onto the stack, and moves to B

May be nondeterministic

$((P \ EMP \ EMP) \ (Q \ j))$ is a push operation that does not consult the input tape nor the stack

$((P \ EMP \ j) \ (Q \ EMP))$ is a pop operation

Pushdown Automata

A pda configuration is a member of $(K \times \Sigma^* \times \Gamma^*)$

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A computation step moves the machine from a starting configuration to a new configuration using a single rule denoted as:

$$(P, xw, a) \vdash (Q, w, g)$$

Pushdown Automata

A pda configuration is a member of $(K \times \Sigma^* \times \Gamma^*)$

A computation step moves the machine from a starting configuration to a new configuration using a single rule denoted as:

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Zero or more steps are denoted using \vdash^*

Pushdown Automata

A pda configuration is a member of $(K \times \Sigma^* \times \Gamma^*)$

A computation step moves the machine from a starting configuration to a new configuration using a single rule denoted as:

$$(P, xw, a) \vdash (Q, w, g)$$

Zero or more steps are denoted using \vdash^*

A computation of length n on a word, w , is denoted by:

$$C_0 \vdash C_1 \vdash C_2 \vdash \dots \vdash C_n, \text{ where } C_i \text{ is a pda configuration.}$$

Pushdown Automata

A pda configuration is a member of $(K \times \Sigma^* \times \Gamma^*)$

A computation step moves the machine from a starting configuration to a new configuration using a single rule denoted as:

$$(P, xw, a) \vdash (Q, w, g)$$

Zero or more steps are denoted using \vdash^*

A computation of length n on a word, w , is denoted by:

$$C_0 \vdash C_1 \vdash C_2 \vdash \dots \vdash C_n, \text{ where } C_i \text{ is a pda configuration.}$$

If a pda, M , starting in the starting state consumes all the input and reaches a final state with the stack empty then M accepts. Otherwise, M rejects

A word, w , is in the language of M , $L(M)$, if there is a computation from the starting state that consumes w and M accepts

It does not matter that there may be computations that reject w .

Pushdown Automata

A state invariant predicate may be associated with each state

An invariant predicate has two inputs: the consumed input and the stack

It must test and relate the invariant conditions for and between the consumed input and the stack.

Pushdown Automata

Design and implement a pda for $L = a^n b^n$

How may the stack be used?

Pushdown Automata

Design and implement a pda for $L = a^n b^n$

How may the stack be used?

Accumulate the read a s

Pushdown Automata

Design and implement a pda for $L = a^n b^n$

How may the stack be used?

Accumulate the read a s

Match b s by popping a s

Pushdown Automata

Design and implement a pda for $L = a^n b^n$

How may the stack be used?

Accumulate the read a s

Match b s by popping a s

After all the input is read, the machine ought to move to a final state

It accepts if the stack is empty. Otherwise, it rejects

Pushdown Automata

Name: $a^n b^n$ $\Sigma = \{a, b\}$

Pushdown Automata

Name: $a^n b^n \Sigma = \{a^n b^n\}$

;; Tests for $a^n b^n$

(**check—reject?** $a^n b^n$ '(a) '(b b) '(a b b)

'(a b a a b b) '(a a b b a b))

(**check—accept?** $a^n b^n$ '() '(a a b b) '(a a a a b b b b b b))

Pushdown Automata

Name: $a^n b^n \Sigma = \{a b\}$

```
:: Tests for a^n b^n
(check—reject? a^n b^n '(a) '(b b) '(a b b)
                    '(a b a a b b) '(a a b b a b))
(check—accept? a^n b^n '() '(a a b b) '(a a a a b b b b b))
```

States

```
:: States
:: S: ci = a* = stack, start state
```

Pushdown Automata

Name: $a^n b^n$ $\Sigma = \{a, b\}$

```
:: Tests for a^n b^n
(check—reject? a^n b^n '(a) '(b b) '(a b b)
                    '(a b a a b b) '(a a b b a b))
(check—accept? a^n b^n '() '(a a b b) '(a a a a b b b b b))
```

States

```
:: States
:: S: ci = a* = stack, start state

:: M: ci = (append (listof a) (listof b))
::      ^ stack = a*
::      ^ |ci a's| = |stack| + |ci \texttt{b}s|
```

Pushdown Automata

Name: $a^n b^n \Sigma = \{a b\}$

```
:: Tests for a^n b^n
(check—reject? a^n b^n '(a) '(b b) '(a b b)
                    '(a b a a b b) '(a a b b a b))
(check—accept? a^n b^n '() '(a a b b) '(a a a a b b b b b))
```

States

```
:: States
:: S: ci = a* = stack, start state

:: M: ci = (append (listof a) (listof b))
::      ^ stack = a*
::      ^ |ci a's| = |stack| + |ci \texttt{b}s|

:: F: ci = (append (listof a) (listof b))
::      ^ |stack| = 0
::      ^ |ci a's| = |ci b's|, final state
```

Pushdown Automata

Transition Relation

Push all a and nondeterministically move to M

$$((S, \text{EMP}, \text{EMP}) (M, \text{EMP})) \quad ((S a, \text{EMP}) (S (a)))$$

Pushdown Automata

Transition Relation

Push all a and nondeterministically move to M

$$((S, \text{EMP}, \text{EMP}) (M, \text{EMP})) \quad ((S a, \text{EMP}) (S (a)))$$

Match all b and nondeterministically move to F

$$((M b (a)) (M, \text{EMP})) \quad ((M, \text{EMP}, \text{EMP}) (F, \text{EMP}))$$

Pushdown Automata

```

;; L = {a^n b^n | n >= 0}
;; States
;; S ci = (listof a) = stack, start state
;; M ci = (append (listof a) (listof b)) AND
;;         (length ci as) = (length stack) + (length ci bs)
;; F ci = (append (listof a) (listof b)) and all as and bs matched,
;;         final state
;; The stack is a (listof a)
(define a^n b^n (make-ndpda '(S M F) '(a b) '(a) 'S '(F)
                             `(((S ,ε ,ε) (M ,ε))
                               ((S a ,ε) (S (a)))
                               ((M b (a)) (M ,ε))
                               ((M ,ε ,ε) (F ,ε)))))

;; Tests for a^n b^n
(check-reject? a^n b^n '(a) '(b b) '(a b b) '(a b a a b b)
               '(a a b b a b))
(check-accept? a^n b^n '() '(a a b b) '(a a a a b b b b b))

```

Pushdown Automata

```
:: word stack → Boolean
:: Purpose: Determine if ci and stack are the same (listof a)
(define (S-INV ci stck)
  (and (= (length ci) (length stck))
        (andmap (λ (i g) (and (eq? i 'a) (eq? g 'a))) ci stck)))
:: Tests for S-INV
(check-inv-fails? S-INV '(() (a a)) '((a) ()) '((b b b) (b b b)))
(check-inv-holds? S-INV '(() ()) '((a a a) (a a a)))
```

Pushdown Automata

```
;; word stack → Boolean
;; Purpose: Determine if ci and stack are the same (listof a)
(define (S-INV ci stck)
  (and (= (length ci) (length stck))
        (andmap (λ (i g) (and (eq? i 'a) (eq? g 'a))) ci stck)))
;; Tests for S-INV
(check-inv-fails? S-INV '(() (a a)) '((a) ()) '((b b b) (b b b)))
(check-inv-holds? S-INV '(() ()) '((a a a) (a a a)))

;; word stack → Boolean
;; Purpose: Determine if ci = ε or a+b+ AND the stack
;;           only contains a AND |ci as| = |stack| + |ci bs|
(define (M-INV ci stck)
  (let* [(as (takef ci (\lambda (s) (eq? s 'a))))
         (bs (takef (drop ci (length as)) (\lambda (s) (eq? s 'b))))]
    (and (equal? (append as bs) ci)
          (andmap (λ (s) (eq? s 'a)) stck)
          (= (length as) (+ (length bs) (length stck))))))
;; Tests for M-INV
(check-inv-fails? M-INV '((a a b) (a a)) '((a) (a))
                  '((a a a b) (a a a)) '((a a a b) (a)))
(check-inv-holds? M-INV
                  '(() ()) '((a) (a)) '((a b) (a))
                  '((a a b b) (a)))
```

Pushdown Automata

:: word stack \rightarrow Boolean

:: Purpose: Determine if ci and stack are the same (listof a)

```
(define (S-INV ci stack)
  (and (= (length ci) (length stack))
        (andmap (lambda (i g) (and (eq? i 'a) (eq? g 'a))) ci stack)))

:: Tests for S-INV
(check-inv-fails? S-INV '(() (a a)) '((a) ()) '((b b b) (b b b)))
(check-inv-holds? S-INV '(() ()) '((a a a) (a a a)))
```

:: word stack \rightarrow Boolean

:: Purpose: Determine if ci = ϵ or a+b+ AND the stack

:: only contains a AND |ci as| = |stack| + |ci bs|

```
(define (M-INV ci stack)
  (let* [(as (takef ci (lambda (s) (eq? s 'a))))
        (bs (takef (drop ci (length as)) (lambda (s) (eq? s 'b))))]
    (and (equal? (append as bs) ci)
          (andmap (lambda (s) (eq? s 'a)) stack)
          (= (length as) (+ (length bs) (length stack))))))

:: Tests for M-INV
(check-inv-fails? M-INV '((a a b) (a a)) '((a) ()))
                                         '((a a a b) (a a a)) '((a a a b) (a)))
(check-inv-holds? M-INV
  '(() ()) '((a) (a)) '((a b) ()))
  '((a a a b b) (a)))
```

:: word stack \rightarrow Boolean Purpose: Determine if ci=aⁿbⁿ & empty stack

```
(define (F-INV ci stack)
  (let* [(as (takef ci (lambda (s) (eq? s 'a))))
        (bs (takef (drop ci (length as)) (lambda (s) (eq? s 'b))))]
    (and (empty? stack) (equal? (append as bs) ci) (= (length as) (length bs))))

:: Tests for F-INV
(check-inv-fails? F-INV '((a a b) (a)) '((a) (a)) '((a a a b) (a a a)) '((b b b) (b b b)))
```

Pushdown Automata

$$L = a^n b^n \quad \text{ci} = \text{the consumed input} \quad w \in (\Sigma^M)^*$$

$$F = (\text{finals } M) \quad P = a^n b^n$$

Theorem

The state invariants hold when P is applied to w .

The proof is by induction on, n , the number of transitions to consume w

Pushdown Automata

Base Case

When P starts, S-INV holds because $ci = '()$ and the stack = $'()$
Observe that empty transitions into M and F may lead to accept and, therefore, we must establish that the invariants for these states also hold

After using $((S \text{ EMP EMP}) (M \text{ EMP}))$, M-INV holds because $ci = '()$ and the stack = $'()$

After using $((M \text{ EMP EMP}) (F \text{ EMP}))$, F-INV also holds because $ci = '()$ and the stack = $'()$

Pushdown Automata

Assume INVs hold for k steps. Show they hold for the $k+1$ step

Pushdown Automata

Assume INVs hold for k steps. Show they hold for the $k+1$ step

Proof invariants hold after each nonempty transition:

$((S \text{ a EMP}) (S (a)))$

By inductive hypothesis, $S\text{-INV}$ holds

After consuming an a and pushing an a , P may reach S and by empty transition M

Note that an empty transition into F with a nonempty stack cannot lead to an accept. Therefore, we do not concern ourselves about P making such a transition

$S\text{-INV}$ and $M\text{-INV}$ hold because both the length of the consumed input and of the stack increased by 1, thus, remaining of equal length and because both continue to only contain a s

Pushdown Automata

Assume INVs hold for k steps. Show they hold for the $k+1$ step

Proof invariants hold after each nonempty transition:

$((S \text{ a EMP}) (S (a)))$

By inductive hypothesis, S -INV holds

After consuming an a and pushing an a , P may reach S and by empty transition M

Note that an empty transition into F with a nonempty stack cannot lead to an accept. Therefore, we do not concern ourselves about P making such a transition

S -INV and M -INV hold because both the length of the consumed input and of the stack increased by 1, thus, remaining of equal length and because both continue to only contain a s

$((M \text{ b } (a)) (M, \text{EMP}))$:

By inductive hypothesis, M -INV holds

After consuming $a \text{ b}$ and popping an a , P may reach M or nondeterministically reach F because it may eventually accept

M -INV holds because c_i continues to be a s followed by b s, the stack can only contain a s, and the number of a s in c_i remains equal to the sum of the number of b s in c_i and the length of the stack.

F -INV holds because for a computation that ends with an accept the read b is the last symbol in the given word and popping an a makes the stack empty, c_i continues to be the read a s followed by the read b s, and, given that the stack is empty, the number of a s equals the number of b s in the consumed input.

Pushdown Automata

Proving $L = L(P)$

Pushdown Automata

Proving $L = L(P)$

Lemma

$w \in L \Leftrightarrow w \in L(P)$

Proof.

(\Rightarrow) Assume $w \in L$. This means that $w = a^n b^n$. Given that state invariants always hold, there is a computation that has P consume all the a s, then consume all the b s, and then reach F with an empty stack. Therefore, $w \in L(P)$.

(\Leftarrow) Assume $w \in L(P)$. This means that M halts in F , the only final state, with an empty stack having consumed w . Given that the state invariants always hold we may conclude that $w = a^n b^n$. Therefore, $w \in L$. □

Pushdown Automata

Time to have some fun!

Pushdown Automata

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According to Chomsky: $RL \subset CFL$

Pushdown Automata

Time to have some fun!

According to Chomsky: $RL \subset CFL$

Prove it!

Pushdown Automata

:: ndfa \rightarrow pda

:: Purpose: Convert the given ndfa to a pda

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```
(define (ndfa2pda M #:accepts [accs '()] #:rejects [rejs '()])
```


Pushdown Automata

:: ndfa \rightarrow pda

:: Purpose: Convert the given ndfa to a pda

```
(define (ndfa2pda M #:accepts [accs '()] #:rejects [rejs '()])
```

```
  (let [(states (sm-states M))
        (sigma (sm-sigma M))
        (start (sm-start M))
        (finals (sm-finals M))
        (rules (sm-rules M))]
```

Pushdown Automata

;; ndfa \rightarrow pda

;; Purpose: Convert the given ndfa to a pda

```
(define (ndfa2pda M #:accepts [accs '()] #:rejects [rejs '()])
```

```
  (let [(states (sm-states M))
        (sigma (sm-sigma M))
        (start (sm-start M))
        (finals (sm-finals M))
        (rules (sm-rules M))]
```

```
    (make-ndpda states sigma '() start finals
```

Pushdown Automata

:: ndfa \rightarrow pda

:: Purpose: Convert the given ndfa to a pda

```
(define (ndfa2pda M #:accepts [accs '()] #:rejects [rejs '()])
```

```
  (let [(states (sm-states M))
        (sigma (sm-sigma M))
        (start (sm-start M))
        (finals (sm-finals M))
        (rules (sm-rules M))]
```

```
    (make-ndpda states sigma '() start finals
```

```
      #:accepts accs
      #:rejects rejs)))
```

Pushdown Automata

;; ndfa \rightarrow pda

;; Purpose: Convert the given ndfa to a pda

```
(define (ndfa2pda M #:accepts [accs '()] #:rejects [rejs '()])
```

```
  (let [(states (sm-states M))
        (sigma (sm-sigma M))
        (start (sm-start M))
        (finals (sm-finals M))
        (rules (sm-rules M))]
```

```
    (make-ndpda states sigma '() start finals
```

```
      (map (lambda (r)
              (list (list (first r) (second r) epsilon)
                    (list (third r) epsilon)))
            rules)
```

```
      #:accepts accs
      #:rejects rejs)))
```

Recursively Enumerable Languages

A Turing machine language recognizer is an instance of:

(**make**—**tm** K Σ R S F Y)

Recursively Enumerable Languages

A Turing machine language recognizer is an instance of:

(**make—tm** $K \Sigma R S F Y$)

R is a transition relation

Recursively Enumerable Languages

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A Turing machine language recognizer requires two final states usually named Y and N

When a Turing machine reaches a final state it halts and performs no more transitions

Recursively Enumerable Languages

A Turing machine language recognizer is an instance of:

(make—tm K Σ R S F Y)

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When a Turing machine reaches a final state it halts and performs no more transitions

Two special symbols that may appear of the input tape: LM and BLANK (both FSM constants)

A Turing machine rule, tm-rule, is an element of:

(list (list N a) (list M A))

N is non-halting state

$a \in \{\Sigma \cup \{\text{LM BLANK}\}\}$,

$M \in K$

A is an action $\in \{\Sigma \cup \{\text{RIGHT LEFT}\}\}$

If $A \in \Sigma$ then the machine writes A in the tape position under the tape's head

Recursively Enumerable Languages

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N is non-halting state

$a \in \{\Sigma \cup \{\text{LM BLANK}\}\}$,

$M \in K$

A is an action $\in \{\Sigma \cup \{\text{RIGHT LEFT}\}\}$

If $A \in \Sigma$ then the machine writes A in the tape position under the tape's head

When LM is read the tm must move the tape's head right (regardless of the state it is in)

May not overwrite LM

The tm cannot "fall off" the left end of the input tape

Recursively Enumerable Languages

A Turing machine configuration is a triple: (state natnum tape)

Only the “touched” part of the tape is displayed

The touched part of the input tape includes the left-end marker and anything specified in the initial tape value including blanks

Recursively Enumerable Languages

A computation, $C_i \vdash^* C_j$, is valid for M if and only if M can move from C_i to C_j using zero or more transitions

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Otherwise, w is rejected

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A computation, $C_i \vdash^* C_j$, is valid for M if and only if M can move from C_i to C_j using zero or more transitions

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A Turing machine language recognizer's execution may be observed using `sm-viz`

Recursively Enumerable Languages

A computation, $C_i \vdash^* C_j$, is valid for M if and only if M can move from C_i to C_j using zero or more transitions

A word, w , is accepted by a `tm` language recognizer if it reaches the final accepting state

Otherwise, w is rejected

A Turing machine language recognizer's execution may be observed using `sm-viz`

State invariant predicates take as input the "touched" part of the input tape and the position, i , of the input tape's next element to read

The predicate asserts a condition about the touched input that must hold which may or may not be in relation to the head's position

Recursively Enumerable Languages

Let's now design a nondeterministic Turing machine

The transition relation does not have to be a function

Recursively Enumerable Languages

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$$L = a^* \cup a^*b$$

Recursively Enumerable Languages

Let's now design a nondeterministic Turing machine

The transition relation does not have to be a function

$$L = a^* \cup a^*b$$

Name: $a^* \cup a^*b$ $\Sigma = \{a, b\}$

;; PRE: tape = LMw AND i = 1

Recursively Enumerable Languages

Let's now design a nondeterministic Turing machine

The transition relation does not have to be a function

$$L = a^* \cup a^*b$$

Name: a^*Ua^*b $\Sigma = \{a, b\}$

;; PRE: tape = LMw AND i = 1

Tests

*;; Tests for a^*Ua^*b*

(check—reject? a^*Ua^*b

~((,LM b b) 1)

~((,LM a a b a) 1))

(check—accept? a^*Ua^*b

~((,LM ,BLANK) 1)

~((,LM b) 1)

~((,LM a b) 1)

~((,LM a a a) 1)

~((,LM a a a b) 1))

Recursively Enumerable Languages

When the machine starts in S nothing has been read

If the input word is empty the machine moves to accept

Recursively Enumerable Languages

When the machine starts in S nothing has been read

If the input word is empty the machine moves to accept

If the first element is an a then the machine nondeterministically moves to a state A to read a^* or to a state B to read a^*b

Recursively Enumerable Languages

When the machine starts in S nothing has been read

If the input word is empty the machine moves to accept

If the first element is an a then the machine nondeterministically moves to a state A to read a^* or to a state B to read a^*b

Upon reading a^* in A the machine may accept

Upon reading a^*b in B the machine moves to state C to determine if the end of the input word has been reached and then moves to either accept or reject

Recursively Enumerable Languages

When the machine starts in S nothing has been read

If the input word is empty the machine moves to accept

If the first element is an a then the machine nondeterministically moves to a state A to read a^* or to a state B to read a^*b

Upon reading a^* in A the machine may accept

Upon reading a^*b in B the machine moves to state C to determine if the end of the input word has been reached and then moves to either accept or reject

From C the machine may accept upon reading a blank and reject otherwise.

Recursively Enumerable Languages

When the machine starts in S nothing has been read

If the input word is empty the machine moves to accept

If the first element is an a then the machine nondeterministically moves to a state A to read a^* or to a state B to read a^*b

Upon reading a^* in A the machine may accept

Upon reading a^*b in B the machine moves to state C to determine if the end of the input word has been reached and then moves to either accept or reject

From C the machine may accept upon reading a blank and reject otherwise.

The states may be documented as follows:

```
:: States (i is the position of the head)
:: S: no tape elements read, starting state
:: A: tape[1..i-1] has only a
:: B: tape[1..i-1] has only a
:: C: tape[1..i-2] has only a and tape[i-1] = b
:: Y: tape[i] = BLANK and tape[1..i-1] in  $a^*$  or  $a^*b$ ,
    final accepting state
:: N: tape[1..i-1]  $\neq a^*$  nor  $a^*b$ , final state
```

Recursively Enumerable Languages

Transition relation

$((S, \text{BLANK}) (Y, \text{BLANK}))$

$((S, a) (A, \text{RIGHT}))$

$((S, a) (B, \text{RIGHT}))$

$((S, b) (C, \text{RIGHT}))$

Recursively Enumerable Languages

Transition relation

$((S, \text{BLANK}) (Y, \text{BLANK}))$

$((S, a) (A, \text{RIGHT}))$

$((S, a) (B, \text{RIGHT}))$

$((S, b) (C, \text{RIGHT}))$

$((A, a) (A, \text{RIGHT}))$

$((A, \text{BLANK}) (Y, \text{BLANK}))$

Recursively Enumerable Languages

Transition relation

$((S, \text{BLANK}) (Y, \text{BLANK}))$

$((S, a) (A, \text{RIGHT}))$

$((S, a) (B, \text{RIGHT}))$

$((S, b) (C, \text{RIGHT}))$

$((A, a) (A, \text{RIGHT}))$

$((A, \text{BLANK}) (Y, \text{BLANK}))$

$((B, a) (B, \text{RIGHT}))$

$((B, b) (C, \text{RIGHT}))$

Recursively Enumerable Languages

Transition relation

$((S, \text{BLANK}) (Y, \text{BLANK}))$

$((S, a) (A, \text{RIGHT}))$

$((S, a) (B, \text{RIGHT}))$

$((S, b) (C, \text{RIGHT}))$

$((A, a) (A, \text{RIGHT}))$

$((A, \text{BLANK}) (Y, \text{BLANK}))$

$((B, a) (B, \text{RIGHT}))$

$((B, b) (C, \text{RIGHT}))$

$((C, a) (N, \text{RIGHT}))$

$((C, b) (N, \text{RIGHT}))$

$((C, \text{BLANK}) (Y, \text{BLANK}))$

Turing Machines

Implementation

```

;; States (i is the position of the head)
;; S: no tape elements read, starting state
;; A: tape[1..i-1] has only a
;; B: tape[1..i-1] has only a
;; C: tape[1..i-2] has only a and tape[i-1] = b
;; Y: tape[i] = BLANK and tape[1..i-1] = a* or a*b,
;;    final accepting state
;; N: tape[1..i-1] != a* or a*b, final state
;; L = a* U a*b    PRE: tape = LMw AND i = 1
(define a*Ua*b (make-tm '(S A B C Y N)
  `((a b)
    `(((S ,BLANK) (Y ,BLANK))
      ((S a) (A ,RIGHT))
      ((S a) (B ,RIGHT))
      ((S b) (C ,RIGHT))
      ((A a) (A ,RIGHT))
      ((A ,BLANK) (Y ,BLANK))
      ((B a) (B ,RIGHT))
      ((B b) (C ,RIGHT))
      ((C a) (N a))
      ((C b) (N b))
      ((C ,BLANK) (Y ,BLANK))))
    'S
    '(Y N)
    'Y))

;; Tests for a*Ua*b
(check-reject? a*Ua*b `((,LM b b) 1) `((,LM a a b a) 1))
(check-accept? a*Ua*b `((,LM ,BLANK) 1) `((,LM b) 1)
  `((,LM a b) 1) `((,LM a a a) 1)
  `((,LM a a a b) 1))

```

Turing Machines

;; tape natnum \rightarrow Boolean Purpose: Determine that no tape elements read
(define (S-INVT i) (= i 1))

Turing Machines

```
;; tape natnum  $\rightarrow$  Boolean    Purpose: Determine that no tape elements read  
(define (S-INV t i) (= i 1))
```

```
;; tape natnum  $\rightarrow$  Boolean  
;; Purpose: Determine that tape[1..i-1] only has a  
(define (B-INV t i)  
  (and (>= i 2) (andmap ( $\lambda$  (s) (eq? s 'a)) (take (rest t) (sub1 i)))))
```

Turing Machines

```
;; tape natnum → Boolean   Purpose: Determine that no tape elements read
(define (S-INV t i) (= i 1))
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```
;; tape natnum → Boolean
;; Purpose: Determine that tape[1..i-1] only has a
(define (B-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (sub1 i)))))
```

```
;; tape natnum → Boolean   Purpose: Determine that tape[1..i-1] only has a
(define (A-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (sub1 i)))))
```

Turing Machines

```
:: tape natnum → Boolean Purpose: Determine that no tape elements read
(define (S-INV t i) (= i 1))
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(define (A-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (sub1 i)))))
```

```
:: tape natnum → Boolean
:: Purpose: Determine that tape[1..i-2] has only a and tape[i-1] = b
(define (C-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (- i 2)))
    (eq? (list-ref t (sub1 i)) 'b)))
```


Turing Machines

```
:: tape natnum → Boolean Purpose: Determine that no tape elements read
(define (S-INV t i) (= i 1))
```

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```

```
:: tape natnum → Boolean Purpose: Determine that tape[1..i-1] only has a
(define (A-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (sub1 i)))))
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```
:: tape natnum → Boolean
:: Purpose: Determine that tape[1..i-2] has only a and tape[i-1] = b
(define (C-INV t i)
  (and (>= i 2) (andmap (λ (s) (eq? s 'a)) (take (rest t) (- i 2)))
    (eq? (list-ref t (sub1 i)) 'b)))
```

```
:: tape natnum → Boolean
:: Purpose: Determine that tape[i] = BLANK and tape[1..i-1] = a* or tape[1..i-1] =
          a*b
(define (Y-INV t i)
  (or (and (= i 2) (eq? (list-ref t (sub1 i)) BLANK))
    (andmap (λ (s) (eq? s 'a)) (take (rest t) (sub1 i)))
    (let* [(front (takef (rest t) (λ (s) (eq? s 'a))))
           (back (takef (drop t (add1 (length front))) (λ (s) (not (eq? s BLANK)))))
           (equal? back '(b))])))
```

Turing Machines

Theorem

*State invariants hold when a^*Ua^*b is applied to w .*

The proof, as before, is done by induction on n , the number of steps taken by a^*Ua^*b . Let $a^*Ua^*b = (\text{make-tm } K \ \Sigma \ R \ S \ F \ Y)$.

Proof.



Turing Machines

Theorem

*State invariants hold when a^*Ua^*b is applied to w .*

The proof, as before, is done by induction on, n , the number of steps taken by a^*Ua^*b . Let $a^*Ua^*b = (\text{make-tm } K \ \Sigma \ R \ S \ F \ Y)$.

Proof.

Base case: $n = 0$

If no steps are taken a^*Ua^*b may only be in S . By precondition, the head's position is 1. This means $S\text{-INV}$ holds.



Turing Machines

Proof.

Inductive Step:

Assume: State invariants hold for a computation of length $n = k$

Show: State invariants hold for a computation of length $n = k + 1$



Turing Machines

Proof.

Inductive Step:

Assume: State invariants hold for a computation of length $n = k$

Show: State invariants hold for a computation of length $n = k + 1$

Let $w = xcy$, such that $x, y \in \Sigma^*$, $|x| = k$, and $c \in \{\Sigma \cup \{\text{BLANK}\}\}$. The first $k + 1$ steps:

$(S \vdash xcy) \vdash^* (U \vdash rxcy) \vdash (V \vdash sxcy)$, where $V \in K \wedge U \in K - \{N, Y\}$

That is, the first k transitions take the machine to state U and move the head to position r without changing the contents of the tape

The $k + 1$ transition takes the machine to state V and leaves the head in position s without changing the contents of the tape

We must show that the state invariant holds for the $k + 1$ transition

Note that a rule of the form $((I @) (I, \text{RIGHT}))$ is never used because the machine never moves left and by precondition the head starts in position 1



Turing Machines

Proof.

We make an argument for each rule that may be used:

$((S, \text{BLANK}) (Y, \text{BLANK}))$: By inductive hypothesis, $S\text{-INV}$ holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Reading the blank means the input word is empty. Thus, $Y\text{-INV}$ holds.



Turing Machines

Proof.

We make an argument for each rule that may be used:

$((S, \text{BLANK}) (Y, \text{BLANK}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Reading the blank means the input word is empty. Thus, Y-INV holds.

$((S, a) (A, \text{RIGHT}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Using this rule means that the read part of the input word only contains a and that the head moves to position 2. Therefore, A-INV holds.



Turing Machines

Proof.

We make an argument for each rule that may be used:

$((S, \text{BLANK}) (Y, \text{BLANK}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Reading the blank means the input word is empty. Thus, Y-INV holds.

$((S, a) (A, \text{RIGHT}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Using this rule means that the read part of the input word only contains a and that the head moves to position 2. Therefore, A-INV holds.

$((B, b) (C, \text{RIGHT}))$: By inductive hypothesis, B-INV holds. This means that the read part of the input word is a member of a^* and, the head's position, $i \geq 2$. Reading a b means the read part of the input word is a member of a^*b and that $i \geq 2$ continues to hold. Thus, C-INV holds.



Turing Machines

Proof.

We make an argument for each rule that may be used:

$((S, \text{BLANK}) (Y, \text{BLANK}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Reading the blank means the input word is empty. Thus, Y-INV holds.

$((S, a) (A, \text{RIGHT}))$: By inductive hypothesis, S-INV holds. This means that before using this rule nothing has been read from the input word because the head is in position 1. Using this rule means that the read part of the input word only contains a and that the head moves to position 2. Therefore, A-INV holds.

$((B, b) (C, \text{RIGHT}))$: By inductive hypothesis, B-INV holds. This means that the read part of the input word is a member of a^* and, the head's position, $i \geq 2$. Reading a b means the read part of the input word is a member of a^*b and that $i \geq 2$ continues to hold. Thus, C-INV holds.

$((C, a) (N, \text{RIGHT}))$: By inductive hypothesis, C-INV holds. This means that the read part of the input word is a member of a^*b . Reading an a means the input word is not a member of a^* nor a^*b . Thus, N-INV holds.



Turing Machines

Theorem

$$L = L(a^*Ua^*b)$$

Turing Machines

Theorem

$$L = L(a^*Ua^*b)$$

Lemma

$$w \in L \Leftrightarrow w \in L(a^*Ua^*b)$$

Turing Machines

Theorem

$$L = L(a^*Ua^*b)$$

Lemma

$$w \in L \Leftrightarrow w \in L(a^*Ua^*b)$$

Proof.

(\Rightarrow) Assume $w \in L$. This means that $w \in a^*$ or $w \in a^*b$. Given that state invariants always hold, a^*Ua^*b must halt in Y after reading w . Thus, $w \in L(a^*Ua^*b)$.

(\Leftarrow) Assume $w \in L(a^*Ua^*b)$. This means that a^*Ua^*b halts in Y after consuming w . Given that the invariants always hold, $w \in a^*$ or $w \in a^*b$. Thus, $w \in L$. □

Lemma

$$w \notin L \Leftrightarrow w \notin L(a^*Ua^*b)$$

Proof.

By contraposition □

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of } a\text{'s, } b\text{'s, and } c\text{'s}\}$

Let's develop a design idea!

Recursively Enumerable Languages

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Maybe it is easier to design a multitape Turing machine?

Recursively Enumerable Languages

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Let's develop a design idea!

Maybe it is easier to design a multitape Turing machine?

:: PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of a's, b's, and c's}\}$

Let's develop a design idea!

Maybe it is easier to design a multitape Turing machine?

;; PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

1. Nondeterministically decide if the input is not empty.
If so, go to 2. Otherwise, go to 3
2. Copy w to the auxiliary tapes
3. Traverse the auxiliary tape left as long as matching a's, b's, and c's are read
4. If a blank is read on all auxiliary tapes move to accept

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of a's, b's, and c's}\}$

:: PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

:: S: Nothing has been read

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of a's, b's, and c's}\}$

:: PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

:: S: Nothing has been read

*:: C: Everything read is copied to an auxiliary tape such
:: For all $j < i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of a's, b's, and c's}\}$

;; PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

;; S: Nothing has been read

*;; C: Everything read is copied to an auxiliary tape such
;; For all $j < i$, $\{t1[j] \ t2[j] \ t3[j]\} = \{a \ b \ c\}$*

*;; D: Everything read is copied to an auxiliary tape such
;; For all $j \leq i$, $\{t1[j] \ t2[j] \ t3[j]\} = \{a \ b \ c\}$*

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of } a\text{'s, } b\text{'s, and } c\text{'s}\}$

;; PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

;; S: Nothing has been read

*;; C: Everything read is copied to an auxiliary tape such
;; For all $j < i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

*;; D: Everything read is copied to an auxiliary tape such
;; For all $j \leq i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

*;; G: T0 copied to auxiliary tapes such that
;; For all $j > i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of } a\text{'s, } b\text{'s, and } c\text{'s}\}$

;; PRE (LM BLANK w) AND t0pos=1, t1pos=0, t2pos=0, t3pos=0

;; S: Nothing has been read

*;; C: Everything read is copied to an auxiliary tape such
;; For all $j < i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

*;; D: Everything read is copied to an auxiliary tape such
;; For all $j \leq i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

*;; G: T0 copied to auxiliary tapes such that
;; For all $j > i$, $\{t1[i] \ t2[i] \ t3[i]\} = \{a \ b \ c\}$*

;; Y: w has equal number of a's, b's, and c's

Recursively Enumerable Languages

$L = \{w \mid w \text{ has equal number of } a\text{'s, } b\text{'s, and } c\text{'s}\}$

```
(define EQABC-ND
  (make-mttm
    '(S Y C D G)
    `(a b c)
    'S
    '(Y)
    <transition relation>
    4
    'Y))

;; Tests for EQABC-ND
(check-reject? EQABC-ND
  '((LM ,BLANK a a b b a c c) 1)
  '((@ ,BLANK a a b b a c c) 1)
  '((@ ,BLANK a a a) 1))
(check-accept? EQABC-ND
  '((LM ,BLANK a c c b a b) 1)
  '((@ ,BLANK) 1)
  '((@ ,BLANK c c a b a b a b c) 1))
```

Recursively Enumerable Languages

```
(list (list '(S ( _ _ _ )) '(C (R R R R)))  
      (list '(S ( _ _ _ )) '(G (R R R R))))
```

Recursively Enumerable Languages

```
(list
  (list '(S ( _ _ _ )) '(C (R R R R)))
  (list '(S ( _ _ _ )) '(G (R R R R))))
```

;; copy an a to any tape

```
(list '(C (a _ _ )) '(D (a a _ _ )))
(list '(D (a a _ _ )) '(C (R R _ _ )))
(list '(C (a _ _ )) '(D (a _ a _ )))
(list '(D (a _ a _ )) '(C (R _ R _ )))
(list '(C (a _ _ _ )) '(D (a _ _ a)))
(list '(D (a _ _ a)) '(C (R _ _ R)))
```


Recursively Enumerable Languages

```
(list
  (list '(S ( _ _ _ )) '(C (R R R R)))
  (list '(S ( _ _ _ )) '(G (R R R R))))
```

:: copy an a to any tape

```
(list '(C (a _ _ _)) '(D (a a _ _)))
(list '(D (a a _ _)) '(C (R R _ _)))
(list '(C (a _ _ _)) '(D (a _ a _)))
(list '(D (a _ a _)) '(C (R _ R _)))
(list '(C (a _ _ _)) '(D (a _ _ a)))
(list '(D (a _ _ a)) '(C (R _ _ R)))
```

:: copy a b to any tape

```
(list '(C (b _ _ _)) '(D (b b _ _)))
(list '(D (b b _ _)) '(C (R R _ _)))
(list '(C (b _ _ _)) '(D (b _ b _)))
(list '(D (b _ b _)) '(C (R _ R _)))
(list '(C (b _ _ _)) '(D (b _ _ b)))
(list '(D (b _ _ b)) '(C (R _ _ R)))
```

:: copy a c to any tape

```
(list '(C (c _ _ _)) '(D (c c _ _)))
(list '(D (c c _ _)) '(C (R R _ _)))
(list '(C (c _ _ _)) '(D (c _ c _)))
(list '(D (c _ c _)) '(C (R _ R _)))
(list '(C (c _ _ _)) '(D (c _ _ c)))
(list '(D (c _ _ c)) '(C (R _ _ R)))
```

Recursively Enumerable Languages

;; match as, bs, and cs

```
(list '(C ( _ _ _ _ )) '(G ( _ L L L)))  
(list '(G ( _ a b c)) '(G ( _ L L L)))  
(list '(G ( _ a c b)) '(G ( _ L L L)))  
(list '(G ( _ b a c)) '(G ( _ L L L)))  
(list '(G ( _ b c a)) '(G ( _ L L L)))  
(list '(G ( _ c a b)) '(G ( _ L L L)))  
(list '(G ( _ c b a)) '(G ( _ L L L)))  
(list '(G ( _ _ _ _ )) '(Y ( _ _ _ _ ))))
```

Recursively Enumerable Languages

DEMO!

Recursively Enumerable Languages

Tutorial
Outline

Motivation

Regular
Expressions

Regular
Languages

Regular
Expressions

Finite-State
Machines

Context-Free
Languages

Context-Free
Grammars

Pushdown
Automata

Recursively
Enumerable
Languages

Turing Machines

Multitape Turing
Machines

**Unrestricted
Grammars**

For Unrestricted Grammars attend Andrés' IFL 2025 talk!

Recursively Enumerable Languages

THANK YOU!!! :-)