

the space Σ^+
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the shift transformation
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Σ and the two-sided shift
ooooo

shift with many symbols
oo

Topological dynamics

Symbolic dynamics

Jana Rodriguez Hertz

Universidad de la República - Uruguay

Alfateh University, november 2010

the space Σ^+

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the shift transformation

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Σ and the two-sided shift

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shift with many symbols

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definition

the space Σ^+

Σ^+

the space Σ^+

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the shift transformation

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Σ and the two-sided shift

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shift with many symbols

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definition

the space Σ^+

$$\Sigma^+ = \{0, 1\}^{\mathbb{N}}$$

the space Σ^+

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x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	x_{12}	...
1	0	1	1	0	0	1	0	0	0	1	1	0	...

the space Σ^+

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the shift transformation

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0	1	1	1	1	0	1	0	1	0	0	0	1	...

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0	1	1	1	1	0	1	0	1	0	0	0	1	...
0	0	1	0	1	1	0	0	0	1	1	0	1	...

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0	0	1	0	1	1	0	0	0	1	1	0	1	...
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1	0	1	1	0	0	1	0	0	0	1	1	0	...
0	1	1	1	1	0	1	0	1	0	0	0	1	...
0	0	1	0	1	1	0	0	0	1	1	0	1	...
1	0	1	1	0	0	1	1	1	0	0	1	1	...
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the space Σ^+



the shift transformation



Σ and the two-sided shift



shift with many symbols



metric on Σ^+

a metric on Σ^+

We can define a metric on Σ^+ :

a metric on Σ^+

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$$d(\underline{x}, \underline{y}) =$$

a metric on Σ^+

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$$d(\underline{x}, \underline{y}) = \sum_{n=0}^{\infty} \frac{|x_n - y_n|}{3^{n+1}}$$

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Proposition

- (Σ^+, d) is a compact metric space

a metric on Σ^+

We can define a metric on Σ^+ :

$$d(\underline{x}, \underline{y}) = \sum_{n=0}^{\infty} \frac{|x_n - y_n|}{3^{n+1}}$$

Proposition

- (Σ^+, d) is a compact metric space
- $d(\underline{x}, \underline{y}) < 1/3^{n+1} \iff x_i = y_i \text{ for } i = 0, \dots, n$

the space Σ^+

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metric on Σ^+

the shift transformation

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Σ and the two-sided shift

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shift with many symbols

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example

example

points in $B(\underline{1}, 1/3^6)$

the space Σ^+

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metric on Σ^+

the shift transformation

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Σ and the two-sided shift

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shift with many symbols

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example

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points in $B(\underline{1}, 1/3^6)$

x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	x_{12}	...
1	1	1	1	1	1	1	0	0	0	1	1	0	...

the space Σ^+

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1	1	1	1	1	1	1	0	0	0	1	1	0	...
1	1	1	1	1	1	1	0	1	0	0	0	1	...

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x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	x_{12}	...
1	1	1	1	1	1	1	0	0	0	1	1	0	...
1	1	1	1	1	1	1	0	1	0	0	0	1	...
1	1	1	1	1	1	0	0	0	1	1	0	1	...

the space Σ^+

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1	1	1	1	1	1	0	0	0	1	1	0	1	...
1	1	1	1	1	1	1	1	1	0	0	1	1	...
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the shift transformation

Definition (the shift transformation)

the shift transformation $\sigma : \Sigma^+ \rightarrow \Sigma^+$

the shift transformation

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the shift transformation $\sigma : \Sigma^+ \rightarrow \Sigma^+$
is defined by

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the shift transformation $\sigma : \Sigma^+ \rightarrow \Sigma^+$
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$$[\sigma(\underline{x})]_n = x_{n+1}$$

example

example

<u>X</u>	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
<u>X</u>	1	0	1	1	0	0	1	0	0	0	1	1	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
\underline{x}	1	0	1	1	0	0	1	0	0	0	1	1	...
$\sigma(\underline{x})$	0	1	1	0	0	1	0	0	0	1	1	0	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
\underline{x}	1	0	1	1	0	0	1	0	0	0	1	1	...
$\sigma(\underline{x})$	0	1	1	0	0	1	0	0	0	1	1	0	...
$\sigma^2(\underline{x})$	1	1	0	0	1	0	0	0	1	1	0	1	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
\underline{x}	1	0	1	1	0	0	1	0	0	0	1	1	...
$\sigma(\underline{x})$	0	1	1	0	0	1	0	0	0	1	1	0	...
$\sigma^2(\underline{x})$	1	1	0	0	1	0	0	0	1	1	0	1	...
$\sigma^3(\underline{x})$	1	0	0	1	0	0	0	1	1	0	1	1	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
\underline{x}	1	0	1	1	0	0	1	0	0	0	1	1	...
$\sigma(\underline{x})$	0	1	1	0	0	1	0	0	0	1	1	0	...
$\sigma^2(\underline{x})$	1	1	0	0	1	0	0	0	1	1	0	1	...
$\sigma^3(\underline{x})$	1	0	0	1	0	0	0	1	1	0	1	1	...
$\sigma^4(\underline{x})$	0	0	1	0	0	0	1	1	0	1	1	0	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
\underline{x}	1	0	1	1	0	0	1	0	0	0	1	1	...
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$\sigma^2(\underline{x})$	1	1	0	0	1	0	0	0	1	1	0	1	...
$\sigma^3(\underline{x})$	1	0	0	1	0	0	0	1	1	0	1	1	...
$\sigma^4(\underline{x})$	0	0	1	0	0	0	1	1	0	1	1	0	...
$\sigma^5(\underline{x})$	0	1	0	0	0	1	1	0	1	1	0	1	...

example

example

\underline{x}	x_0	x_1	x_2	x_3	x_4	x_5	x_6	x_7	x_8	x_9	x_{10}	x_{11}	...
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$\sigma^2(\underline{x})$	1	1	0	0	1	0	0	0	1	1	0	1	...
$\sigma^3(\underline{x})$	1	0	0	1	0	0	0	1	1	0	1	1	...
$\sigma^4(\underline{x})$	0	0	1	0	0	0	1	1	0	1	1	0	...
$\sigma^5(\underline{x})$	0	1	0	0	0	1	1	0	1	1	0	1	...
\vdots													

fixed points

Definition (fixed point)

x is a fixed point if $\sigma(x) = x$

properties of the shift

fixed points

- x is a fixed point

fixed points

- \underline{x} is a fixed point
- $\Rightarrow \sigma(\underline{x}) = \underline{x}$

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- two cases:

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 - ① $x_0 = 0$

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 - 1 $x_0 = 0$
 - 2 $x_0 = 1$

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- two cases:
 - ① $x_0 = 0$
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- 0000...
- 11

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- 111

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- 0000...
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periodic points

Definition (periodic point)

\underline{x} is a periodic point if $\exists N \geq 0$ such that

$$o(\underline{x}) : \quad \underline{x}, \sigma(\underline{x}), \sigma^2(\underline{x}), \dots, \sigma^N(\underline{x}) = \underline{x}$$

periodic points of period 2

- x is a periodic point of period 2

periodic points of period 2

- \underline{x} is a periodic point of period 2
- $\Rightarrow \sigma^2(\underline{x}) = \underline{x}$

periodic points of period 2

- \underline{x} is a periodic point of period 2
- $\Rightarrow \sigma^2(\underline{x}) = \underline{x}$
- $\Rightarrow [\sigma^2(\underline{x})]_n = x_n$ for each $n \geq 0$

periodic points of period 2

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- $\Rightarrow x_{n+2} = x_n$ for all $n \geq 0$

periodic points of period 2

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- $\Rightarrow [\sigma^2(\underline{x})]_n = x_n$ for each $n \geq 0$
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- 4 cases

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- 4 cases
 - ① $x_0x_1 = 00$

periodic points of period 2

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- 4 cases
 - 1 $x_0 x_1 = 00$
 - 2 $x_0 x_1 = 01$

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- 4 cases
 - 1 $x_0 x_1 = 00$
 - 2 $x_0 x_1 = 01$
 - 3 $x_0 x_1 = 10$

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- 4 cases
 - 1 $x_0 x_1 = 00$
 - 2 $x_0 x_1 = 01$
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 - 4 $x_0 x_1 = 11$
- (2) 01

periodic points of period 2

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 - 2 $x_0 x_1 = 01$
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- (2) 010

periodic points of period 2

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- (2) 0101

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 - 1 $x_0x_1 = 00$
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- (2) 010101...

the space Σ^+

ooo

the shift transformation

oooo●oo

Σ and the two-sided shift

ooooo

shift with many symbols

oo

properties of the shift

periodic point are dense

periodic points are dense

the periodic points for the shift transformation are dense in Σ^+

the space Σ^+
ooo

the shift transformation
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Σ and the two-sided shift
ooooo

shift with many symbols
oo

properties of the shift

transitivity

transitivity

the shift transformation is transitive

the space Σ^+
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the shift transformation
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shift with many symbols
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properties of the shift

proof

the space Σ^+
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the shift transformation
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Σ and the two-sided shift
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shift with many symbols
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properties of the shift

proof

there is \underline{x} with dense orbit:

proof

there is \underline{x} with dense orbit:

$$\underline{x} =$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 01$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000 \ 001$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000 \ 001 \ 010$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000 \ 001 \ 010 \ 011$$

proof

there is \underline{x} with dense orbit:

$\underline{x} =$

0	1	00	01	10	11	000	001	010	011	100
---	---	----	----	----	----	-----	-----	-----	-----	-----

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000 \ 001 \ 010 \ 011 \ 100 \ \dots$$

proof

there is \underline{x} with dense orbit:

$$\underline{x} = 0 \ 1 \ 00 \ 01 \ 10 \ 11 \ 000 \ 001 \ 010 \ 011 \ 100 \ \dots$$

\underline{x} contains all finite sequences of 0's and 1's

the space Σ^+
○○○

the shift transformation
○○○○○○○

Σ and the two-sided shift
●○○○○

shift with many symbols
○○

the space Σ

the space Σ

Σ

the space Σ^+
○○○

the shift transformation
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Σ and the two-sided shift
●○○○○

shift with many symbols
○○

the space Σ

the space Σ

$$\Sigma = \{0, 1\}^{\mathbb{Z}}$$

the space Σ^+

ooo

the shift transformation

ooooooo

Σ and the two-sided shift

●oooo

shift with many symbols

oo

the space Σ

the space Σ

$$\Sigma = \{0, 1\}^{\mathbb{Z}}$$

...	x_{-5}	x_{-4}	x_{-3}	x_{-2}	x_{-1}	x_0	x_1	x_2	x_3	x_4	x_5	...
...	0	1	1	0	0	1	0	0	0	1	1	...

the space Σ^+

ooo

the shift transformation

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Σ and the two-sided shift

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shift with many symbols

oo

the space Σ

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$$\Sigma = \{0, 1\}^{\mathbb{Z}}$$

...	x_{-5}	x_{-4}	x_{-3}	x_{-2}	x_{-1}	x_0	x_1	x_2	x_3	x_4	x_5	...
...	0	1	1	0	0	1	0	0	0	1	1	...
...	1	1	1	1	0	1	0	1	0	0	0	...

the space Σ^+

ooo

the shift transformation

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Σ and the two-sided shift

●oooo

shift with many symbols

oo

the space Σ

the space Σ

$$\Sigma = \{0, 1\}^{\mathbb{Z}}$$

...	x_{-5}	x_{-4}	x_{-3}	x_{-2}	x_{-1}	x_0	x_1	x_2	x_3	x_4	x_5	...
...	0	1	1	0	0	1	0	0	0	1	1	...
...	1	1	1	1	0	1	0	1	0	0	0	...
...	0	1	0	1	1	0	0	0	1	1	0	...

the space Σ^+

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the shift transformation

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Σ and the two-sided shift

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shift with many symbols

oo

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the space Σ

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...	x_{-5}	x_{-4}	x_{-3}	x_{-2}	x_{-1}	x_0	x_1	x_2	x_3	x_4	x_5	...
...	0	1	1	0	0	1	0	0	0	1	1	...
...	1	1	1	1	0	1	0	1	0	0	0	...
...	0	1	0	1	1	0	0	0	1	1	0	...
...	0	1	1	0	0	1	1	1	0	0	1	...

the space Σ^+

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the shift transformation

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Σ and the two-sided shift

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shift with many symbols

oo

the space Σ

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...	x_{-5}	x_{-4}	x_{-3}	x_{-2}	x_{-1}	x_0	x_1	x_2	x_3	x_4	x_5	...
...	0	1	1	0	0	1	0	0	0	1	1	...
...	1	1	1	1	0	1	0	1	0	0	0	...
...	0	1	0	1	1	0	0	0	1	1	0	...
...	0	1	1	0	0	1	1	1	0	0	1	...
	⋮					⋮						

the space Σ^+

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metric on Σ

the shift transformation

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Σ and the two-sided shift

○●○○○

shift with many symbols

○○

a metric on Σ

We can define a metric on Σ :

a metric on Σ

We can define a metric on Σ :

$$d(\underline{x}, \underline{y}) =$$

the space Σ^+

ooo

metric on Σ

the shift transformation

oooooooo

Σ and the two-sided shift

o●ooo

shift with many symbols

oo

a metric on Σ

We can define a metric on Σ :

$$d(\underline{x}, \underline{y}) = \sum_{n=-\infty}^{\infty} \frac{|x_n - y_n|}{3^{|n|+1}}$$

a metric on Σ

We can define a metric on Σ :

$$d(\underline{x}, \underline{y}) = \sum_{n=-\infty}^{\infty} \frac{|x_n - y_n|}{3^{|n|+1}}$$

Proposition

- (Σ, d) is a compact metric space

a metric on Σ

We can define a metric on Σ :

$$d(\underline{x}, \underline{y}) = \sum_{n=-\infty}^{\infty} \frac{|x_n - y_n|}{3^{|n|+1}}$$

Proposition

- (Σ, d) is a compact metric space
- $d(\underline{x}, \underline{y}) < 1/3^{n+1} \iff x_i = y_i \text{ for } |i| \leq n$

the space Σ^+
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the shift transformation
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Σ and the two-sided shift
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shift with many symbols
○○

the shift transformation

the shift transformation

Definition (the shift transformation)

the shift transformation $\sigma : \Sigma \rightarrow \Sigma$

the space Σ^+
ooo

the shift transformation
oooooooo

Σ and the two-sided shift
oo●ooo

shift with many symbols
oo

the shift transformation

the shift transformation

Definition (the shift transformation)

the shift transformation $\sigma : \Sigma \rightarrow \Sigma$
is defined by

the shift transformation

the shift transformation

Definition (the shift transformation)

the shift transformation $\sigma : \Sigma \rightarrow \Sigma$
is defined by

$$[\sigma(\underline{x})]_n = x_{n+1}$$

the shift transformation

Definition (the shift transformation)

the shift transformation $\sigma : \Sigma \rightarrow \Sigma$
is defined by

$$[\sigma(\underline{x})]_n = x_{n+1}$$

(just as with Σ_+)

the space Σ^+
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the shift transformation
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Σ and the two-sided shift
○○○●○

shift with many symbols
○○

the shift transformation

properties of the two-sided shift

properties

the two sided shift:

the shift transformation

properties of the two-sided shift

properties

the two sided shift:

- has dense periodic points

properties of the two-sided shift

properties

the two sided shift:

- has dense periodic points
- is transitive

the space Σ^+
○○○

the shift transformation
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Σ and the two-sided shift
○○○○●

shift with many symbols
○○

the shift transformation

fixed points

- 0 = 0000000000000000...

the shift transformation

fixed points

- 0 = 0000000000000000...
- 1 = 1111111111111111...

the shift transformation

fixed points

- 0 = 0000000000000000...
- 1 = 1111111111111111...

are they attracting?

the shift transformation

fixed points

- 0 = 0000000000000000...
- 1 = 1111111111111111...

are they attracting? repelling?

the space Σ^+
ooo

the shift transformation
oooooooo

Σ and the two-sided shift
ooooo

shift with many symbols
●○

the spaces Σ_n^+ and Σ_n

the spaces Σ_n^+ and Σ_n



Σ_n^+

the space Σ^+
○○○

the shift transformation
○○○○○○○

Σ and the two-sided shift
○○○○○

shift with many symbols
●○

the spaces Σ_n^+ and Σ_n

the spaces Σ_n^+ and Σ_n



$$\Sigma_n^+ = \{0, 1, \dots, n-1\}^{\mathbb{N}}$$

the space Σ^+
ooo

the shift transformation
oooooooo

Σ and the two-sided shift
ooooo

shift with many symbols
●○

the spaces Σ_n^+ and Σ_n

the spaces Σ_n^+ and Σ_n



$$\Sigma_n^+ = \{0, 1, \dots, n-1\}^{\mathbb{N}}$$



$$\Sigma_n$$

the spaces Σ_n^+ and Σ_n

the spaces Σ_n^+ and Σ_n



$$\Sigma_n^+ = \{0, 1, \dots, n-1\}^{\mathbb{N}}$$



$$\Sigma_n = \{0, 1, \dots, n-1\}^{\mathbb{Z}}$$

the space Σ^+
○○○

the shift transformation
○○○○○○○

Σ and the two-sided shift
○○○○○

shift with many symbols
○●

the spaces Σ_n^+ and Σ_n

properties

properties

- Σ_n^+ has the same properties as $\Sigma^+ = \Sigma_2^+$

the space Σ^+
ooo

the shift transformation
oooooooo

Σ and the two-sided shift
ooooo

shift with many symbols
o●

the spaces Σ_n^+ and Σ_n

properties

properties

- Σ_n^+ has the same properties as $\Sigma^+ = \Sigma_2^+$
- Σ_n has the same properties as $\Sigma = \Sigma_2$