# A Multi-Stage Language with Intensional Analysis

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# Abstract

This paper presents the definition of a language with reflection primitives. The language is a homogeneous multi-stage language that provides the capacity of code analysis by the inclusion of a pattern matching mechanism that permits inspection of the structure of quoted expressions and their destruction into component subparts. Quoted expressions include an explicit annotation of their context which is used for dynamic inference of type, where a dynamic typing discipline based on Hinze and Cheney's approach is used for typing quoted expressions.

This paper follows the approach of Sheard and Pasalic about the use of the meta-language  $\Omega$ mega as a tool for language design. In this sense, it is shown how to represent the syntax, the static as well as the dynamic semantics of the proposed language in terms of  $\Omega$ mega constructs.

*Categories and Subject Descriptors* D.3.1 [*Programming Languages*]: Formal Definitions and Theory – Semantics, Syntax; D.3.4 [*Programming Languages*]: Processors – Code Generation

General Terms Design, Languages, Theory

*Keywords* Reflection, Multi-stage Programming, Intensional Analysis, Dynamics

#### 1. Introduction

With the evolution of computer systems and their growing complexity it has become more and more important to take into account the way to improve their flexibility. In order to provide systems with the ability to evolve during its own execution, programming languages should support *reflection*, understanding it as the ability to "reason about itself". Friedman and Wand [7] introduced the concepts of *reification* and *reflection* to define the processes of converting an interpreter component into an object which the program can manipulate and its inverse, respectively. For instance, one of the components that can be reified is the program code. This sort of reification can be performed by a *quotation* mechanism.

*Multi-stage languages* [23] are typed languages with quotation constructs, analogues to those of Lisp, which define execution stages. These constructs are bracket, escape and run. Brackets  $([|_-|])$  reify the surrounded expression lifting it into the next

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stage. For example, while 2 + 2 evaluates to 4, [| 2 + 2 |] evaluates to the representation of the piece of code 2 + 2. Reified expressions can be constructed from others by using escape. Escape (() evaluates the expression surrounded to a code piece and then splices it into the expression where it occurs. Thus, the evaluation of [| 2 + ([| 2 + 2 |]) |] returns the representation of 2 + 4. Run evaluates its arguments to a piece of code and executes it. This implements the inverse operation of reification.

It is essential to have reflection that programs can reason about their own state and manipulate it. According to Sheard [17] metaprograms can be classified into two categories: *analyzers* and *generators*. Program analyzers are an important class of metaprograms that can be used among other things to optimize, transform, maintain and reason about complex systems. Most of multistages languages, like MetaML [27], lay in the category of program generators. However, a formal treatment of program analyzers features has not being sufficiently developed.

In this paper, we propose a multi-stage language with *intensional analysis*, understanding intensional analysis as the ability of a homogeneous meta-system to observe the structure of its object-programs. This is carried out by a pattern matching mechanism that is used to inspect the structure of quoted expressions and destruct them into their component subparts.

In most multi-stage languages the type of quoted expressions is  $\langle \tau \rangle$  (or cod  $\tau$ ), meaning code of  $\tau$ , for  $\tau$  the type of the expression being quoted. This typing statically ensures that dynamically generated programs are type-safe, but excludes some functions that destruct or traverse the structure of expressions. Other approaches [20, 8, 22] assign the same type cod to all quoted expressions, performing their type checking at run-time. Such languages make a tradeoff between static and dynamic typing. We follow these ideas using the techniques proposed by Cheney and Hinze [5] and Baars and Swierstra [2] for encoding dynamic typing. So, our language somehow relaxes static safety in favour of retaining flexibility.

Our type for quoted expressions is of the form  $cod^{\Gamma}$ , being  $\Gamma$  a type context reflecting, like Nanevsky [11, 12, 13] *names*, the free variables of the expression. When an expression is quoted, its type context needs to be explicitly annotated as it is necessary for dynamic type inference.

We follow the approach of Sheard and Pasalic [19, 18, 21, 14] about the use of  $\Omega$ mega as a tool for language design. Languages are encoded as object-program representation that enforces the semantic invariants of scoping and typing rules. The type system of  $\Omega$ mega then guarantees that all meta-programs respect these additional object-language properties. In the following subsection we briefly describe some of the  $\Omega$ mega features we use, for further information see the mentioned works.

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#### **1.1** Ωmega

 $\Omega$ mega is based on Haskell, although it is strict and doesn't have a class system. Some of its most important features are the so-called Generalized Algebraic Data Types and an extensible kind systems, which make it possible to state and enforce interesting properties of programs using the type system.

Generalized Algebraic Data Types (GADTs) are a generalization of Algebraic Data Types (ADTs). GADTs remove the restriction for parameterized ADTs which states that the range of every constructor must be a polymorphic instance of the type constructor being defined. This is possible by introducing an alternative syntax for data types declarations, where the type being defined is given an explicit kind, and every constructor is given an explicit type. For example, the type constructor Term has kind \*0 ~> \*0, taking types to types, and represents a typed object-language:

data Term	::	*0 ~> *0 where
Const	::	a -> Term a
Pair	::	Term a -> Term b -> Term (a,b)
App	::	Term (a -> b) -> Term a -> Term b

The only restriction on constructors' type is that their range must be a fully applied instance of the type being defined. For example, the range of the constructor Pair is a non-polymorphic instance of Term. Observe that the type argument of Term is used to stand for the object level type of the represented term.

In the same way types classify values, types are classified by kinds. Kinds are implicit in functional languages like Haskell, and can only be either the *base kind* (\*0), which classifies types, or *higher order kinds* ( $\kappa_1 \sim \kappa_2$ ), which classifies type constructors. In  $\Omega$ mega, new kinds can be introduced by a kind declaration, which is analogous to a data declaration. Instead of introducing value constructors, a kind declaration introduces type constructors that produce types classified by that kind.

Sheard [18] proposes using  $\Omega$  mega to explore the design of new languages by encoding language semantics as meta-programs. The language is defined as a GADT. Each GADT represents a judgment, and its constructors encode the typing rules. Type parameters may have an arbitrary structure, because of definition of new kinds, and correspond to static semantics properties. These properties are checked and maintained by  $\Omega$ mega's type system.  $\Omega$ mega's type system also guarantees that meta-level programs maintain object level type-safety. A *big step semantics* can be defined as an interpreter or evaluation function, or a *small step semantics* can be defined in terms of substitutions over the term language. The typing of this function maintains object level type-safety.

# 1.2 Structure of the paper

The paper is organized as follows. In Section 2 we introduce a language with reflection primitives and present its static semantics. Section 3 shows how the static semantics of the language is encoded in  $\Omega$ mega. Section 4 describes a big-step semantics of the language in the form of an  $\Omega$ mega function. We discuss related work in Section 5. Finally, Section 6 draws some conclusions.

#### 2. Language

The aim of this paper is the proposal of a language with linguistic reflection primitives that permit us to perform type-safe intensional code analysis. In this section we define the syntax and static semantics of that language.

## 2.1 Basic Calculus

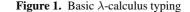
The core of the language is a Church-style [1] simply typed  $\lambda$ -calculus, with the following syntax:

Types	$\tau \in T$	::=	$ ext{int} \mid  ext{bool} \mid  au  o  au \mid  au  imes  au$
Contexts	$\Gamma\inG$	::=	$\cdot \mid \Gamma, \tau$
Ctxt. Stacks	$P\inGS$	::=	$\cdot \mid (P, \Gamma)$
Variables	$v \in V$	::=	z s v
Terms	$e \in E$	::=	$b \mid i \mid$ ( $e_1$ , $e_2$ ) $\mid$ fst $e \mid$ snd $e \mid$
			$\lambda^{ au}. \ e \mid$ fix $e \mid v^{ au} \mid e_1 \ e_2 \mid$
			if $e_1$ then $e_2$ else $e_3$

A type  $\tau$  can be a base type int or bool, a function type  $\tau \rightarrow \tau$  or a binary product  $\tau \times \tau$ . De Bruijn indices [6] are used to encode variables bindings, so variables are natural numbers and type contexts are sequences of types.

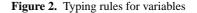
The typing judgment is of the form  $P; \Gamma \vdash e : \tau$ , and reads "expression e has type  $\tau$  in local context  $\Gamma$  under stack P". The presence of context stacks in the typing rules of Figure 1 is the only difference from standard  $\lambda$ -calculus, but can be ignored until we explain their use in the multi-stage extension.

$$\begin{array}{c} \hline \hline P; \Gamma \vdash i: \operatorname{int} & \operatorname{LInt} & \hline \hline P; \Gamma \vdash b: \operatorname{bool} & \operatorname{LBool} \\ \hline \hline P; \Gamma \vdash i: \tau_1 & P; \Gamma \vdash e_2 : \tau_2 \\ \hline P; \Gamma \vdash (e_1, e_2) : \tau_1 \times \tau_2 & \operatorname{Pair} \\ \hline \hline P; \Gamma \vdash \mathsf{fst} & e: \tau_1 & \operatorname{Fst} & \hline P; \Gamma \vdash e: \tau_1 \times \tau_2 \\ \hline P; \Gamma \vdash \mathsf{fst} & e: \tau_1 & \operatorname{Fst} & \hline P; \Gamma \vdash \mathsf{snd} & e: \tau_2 & \operatorname{Snd} \\ \hline \hline P; \Gamma \vdash \lambda^{\tau_1} \cdot e: \tau_1 \to \tau_2 & \operatorname{Abs} & \hline P; \Gamma \vdash \mathsf{fix} & e: \tau & \operatorname{Fix} \\ \hline P; \Gamma \vdash e_1 : \tau_2 \to \tau_1 & \\ \hline P; \Gamma \vdash e_1 : e_2 : \tau_1 & \operatorname{App} & \hline P; \Gamma \vdash v: \tau & \operatorname{Var} \\ \hline P; \Gamma \vdash e_1 : e_2 : \tau & P; \Gamma \vdash e_3 : \tau & \operatorname{Cond} \\ \hline \hline P; \Gamma \vdash if & e_1 & \operatorname{then} & e_2 & \operatorname{else} & e_3 : \tau & \operatorname{Cond} \end{array}$$



In the typing rules for variables, the Base rule projects the 0th index type and the Weak rule for s n projects recursively the (n + 1)-th type.

$$\begin{array}{c|c} \hline P; \Gamma \vdash v : \tau_1 \\ \hline P; \Gamma, \tau \vdash \mathbf{z} : \tau \end{array} \text{ Base } \begin{array}{c} P; \Gamma \vdash v : \tau_1 \\ \hline P; \Gamma, \tau_2 \vdash \mathbf{s} \ v : \tau_1 \end{array} \text{ Weak } \end{array}$$



#### 2.2 Multi-stage Extension

We include some staging annotations as part of the language to build and combine pieces of code, partitioning the execution of programs into computational stages.

Types	$\tau \in T$	::=	$\dots \mid cod^{\Gamma}$
Explicit Substitutions			
Terms	$e \in E$	::=	$ [ e ] \{ \Gamma \}   \$ (e)^{\tau}  $
			$e[\Theta] \mid \texttt{run} \ e_1 \mid e_2$

Annotations include brackets, escape, run and explicit substitution. We don't include Cross-Stage Persistence in our language. Like in  $reFL^{ect}$  [8, 9], this decision is based on the observations of Taha [23] that intensional analysis requires reductions not to be allowed in higher levels, which leads to a loss of confluence if crossstage persistence is included.

The typing rules for the staged terms (Figure 3) are inspired by the "sliding band" of type contexts proposed by Sheard [18], except for the "future" stack of contexts which is unnecessary without cross-stage persistence. The "past" stack contains the contexts of the past stages that could be accessed when an escape is applied to the current context.

# 2.2.1 Dynamic Typing and Explicit Contexts

The type for quoted expressions is  $\operatorname{cod}^{\Gamma^f}$ , where  $\Gamma^f$  is a type context reflecting the free variables of the expression. When an expression is quoted, a context including the free variables of the expression must be passed explicitly. Observe that  $\Gamma^f$  doesn't need to be minimal. That is, if  $\Gamma^p$  represents all free variables in the bracketed expression  $\Gamma^f$  must fulfill the relation  $\Gamma^f = (\Gamma^p, \Gamma^c)$ , meaning that all free variables in the expression have to be in  $\Gamma^f$ , but some others  $(\Gamma^p)$  could be added.

Unlike most multi-stage languages this type doesn't include the type of the expression, so the escape annotation judgment could type wrong formed expressions. For example the expression

$$(\lambda^{\text{cod}^{(\cdot)}}, [| (\#0^{\text{cod}^{(\cdot)}})^{\text{int}} ] \{ \cdot \}) [| \text{ True } | \{ \cdot \}]$$

is well typed, because the requirement that the bound code must be an integer expression cannot be checked statically. The type checking of this kind of expressions is deferred until run-time, and *ill-typed* quoted expressions evaluates to the well-typed value [| Fail |].

The run annotation is similar to the one proposed in [22], where a run-time type checking and unification is done to decide if code expression is executed. In the Run rule, the type of the executed quoted expression  $e_1$  must be the type of  $e_2$ , called the *exception expression*. If its type is not the expected one or type checking fails then  $e_2$  is evaluated. The Run rule assures that only closed code can be evaluated by allowing only expressions with type  $cod^{(\cdot)}$ , that is, without free variables.

$$\begin{split} \frac{(P,\Gamma); \Gamma^f \vdash e: \tau}{P; \Gamma \vdash [|e|] \{\Gamma^f\}: \operatorname{cod}^{\Gamma^f}} & \operatorname{Br} \\ \frac{P; \Gamma^p \vdash e: \operatorname{cod}^{\Gamma}}{(P,\Gamma^p); \Gamma \vdash \$(e)^\tau: \tau} & \operatorname{Esc} & \frac{P; \Gamma \vdash e_1: \operatorname{cod}^{(\cdot)}}{P; \Gamma \vdash e_2: \tau} & \operatorname{Run} \\ \frac{\Gamma' \vdash \Theta \Rightarrow \Gamma'' \quad P; \Gamma \vdash e: \operatorname{cod}^{\Gamma'}}{P; \Gamma \vdash e: \operatorname{cod}^{\Gamma''}} & \operatorname{Subst} \end{split}$$

Figure 3. Multi-stage extension typing

## 2.2.2 Explicit Substitution

An explicit substitution operator over quoted expressions is included in order to provide a simple way of capturing free variables. We use the notation for substitutions of  $\lambda \nu$  [3], adding an explicit annotation of the new type in the case of shifting.

The typing judgment for substitutions is of the form  $\Gamma \vdash \Theta \Rightarrow \Gamma'$ . It relates a type context and a substitution with a "resulting" type context. Therefore, a substitution  $\Theta$  over an expression typed in local context  $\Gamma$  results in an expression typed in local context  $\Gamma'$ . The typing rules are shown in Figure 4.

$$\begin{array}{c} \underline{P; \Gamma \vdash e : \tau} \\ \hline \Gamma, \tau \vdash e / \Rightarrow \Gamma \end{array} \text{Slash} \quad \hline \underline{\Gamma \vdash \uparrow^{\tau} \Rightarrow \Gamma, \tau} \text{Shift} \\ \\ \hline \frac{\Gamma \vdash \Theta \Rightarrow \Gamma'}{\Gamma, \tau \vdash \uparrow (\Theta) \Rightarrow \Gamma', \tau} \text{Lift} \end{array}$$



Given an expression e of type  $\tau$  in a local context  $\Gamma$  under any past stack P, a slash (e/) replaces the first variable by e and decrements the indexes of the remaining variables by one. Shift  $(\uparrow^{\tau})$  increments the indexes of all variables by one and appends the type  $\tau$  at index 0. Applying lift  $(\uparrow)$ , the 0-index type  $\tau$  remains unchanged and the substitution  $\Theta$  is applied to the rest of the context. For example, the expression

$$\begin{array}{c} ([|\#0^{(\texttt{int,bool})\to\texttt{bool}}(\#1^{\texttt{int}},\#2^{\texttt{bool}})|] \\ \texttt{bool,int},(\texttt{int,bool})\to\texttt{bool})[\Uparrow(9/)] \end{array}$$

would reduce to a code, with type  $cod^{,bool,(int,bool)\rightarrow bool}$ , corresponding to the expression:  $(\#0^{(int,bool)\rightarrow bool} (9, \#1^{bool}))$ .

## 2.3 Intensional Analysis Extension

In order to provide *intensional code analysis* we extend the calculus with an alternation primitive, similar to the one proposed in [8], where variables are bound by a pattern matching mechanism.

Terms	$e \in E$	::=	$\dots \mid \lambda p. e_1 \mid e_2$
Patterns	$p \in P$	::=	$i \mid b \mid (p_1, p_2) \mid \bullet^{\tau} \mid \_ \mid [\mid pc \mid]$
Code Patterns	$pc \in PC$	::=	$(\bullet)^{\tau}   (\texttt{lit})^{\tau}     $
			( $pc_1$ , $pc_2$ )  fst $pc$   and $pc$
			$\lambda^{ au}.\ pc \mid \texttt{fix}^{ au}\ pc \mid$
			$v^{\tau} \mid pc_1 \mid pc_2 \mid$
			if $pc_1$ then $pc_2$ else $pc_3$
			$[l_l]{\Gamma}   pc[\Theta]  $
			run $pc_1 \mid pc_2$
	<b>c</b>		

The semantics of patterns is inspired by the pattern matching mechanism defined by Pasalic and Linger [15]. In that work, a pattern judgment  $\Gamma \vdash p : \tau \Rightarrow \Gamma'$  involves an "input" type context  $\Gamma$ , a pattern p, which should match a value of type  $\tau$ , and a resulting type context  $\Gamma'$ . This context extends  $\Gamma$  with the types of the pattern variables. Based on the fact that the only change possible to an "input" context is its extension with the free variables of p, and in order to simplify the dynamic semantics of substitutions over alternations (see section 4.2), we had omitted the "input" context in the pattern judgment. So the judgment is of the form  $\vdash p : \tau \Rightarrow \Gamma$ , meaning that a pattern p (matching a value of type  $\tau$ ) has the free variables contained in  $\Gamma$ .

The Alt rule for an alternation of type  $\tau_1 \rightarrow \tau_2$  relates a pattern p, which should match a value of type  $\tau_1$  extending a context by  $\Gamma'$ , an expression  $e_1$  with type  $\tau_2$  in local context  $\Gamma$ ,  $\Gamma'$  ( $\Gamma$  extended with  $\Gamma'$ ), and an alternative expression  $e_2$  of type  $\tau_1 \rightarrow \tau_2$ . If p matches a value of type  $\tau_1$ , then  $e_1$  is evaluated in local context  $\Gamma$ ,  $\Gamma'$ , otherwise  $e_2$  is evaluated in local context  $\Gamma$  and applied to the matched value.

The simplest pattern is the pattern *any* (\_) which matches any value of type  $\tau$  and leaves the context unchanged. Another basic

$$\begin{array}{c} \vdash p:\tau_1 \Rightarrow \Gamma' \\ P;\Gamma,\Gamma' \vdash e_1:\tau_2 \\ \hline P;\Gamma \vdash e_2:\tau_1 \to \tau_2 \\ \hline P;\Gamma \vdash \lambda p. \ e_1 \mid e_2:\tau_1 \to \tau_2 \end{array} \text{ Alt }$$

Figure 5. Alternation typing

pattern is the (nameless) variable binding pattern ( $\bullet^{\tau}$ ), which differs from the previous one in the type annotation and the extension of the context binding the value matched. More than one variable in a pattern could be bound. The PPair rule shows how variables are related to the indexes in the resulting context. Given a pair pattern  $(p_1, p_2)$ , where  $p_1$  and  $p_2$  are related to  $\Gamma'$  and  $\Gamma''$  respectively, its free variables are  $\Gamma', \Gamma''$ . So the variables of the furthest to the right subpattern  $(p_2)$  would be those of smaller indices in the context. This can be taken as a general rule for patterns with multiple variables.

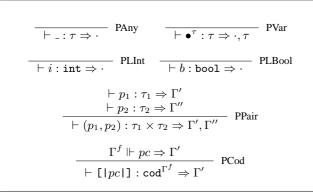


Figure 6. Pattern typing

Code analysis is carried out with the help of *code patterns*. Their typing rules are shown in Figure 7. The judgment  $\Gamma^f \Vdash pc \Rightarrow \Gamma'$  expresses that a pattern *pc*, which should match a quoted expression with type  $\operatorname{cod}^{\Gamma^f}$ , has the variables contained in  $\Gamma'$ .

Most code patterns consist in destructing the expression and applying code patterns to the subexpressions. The *any* (\_) code pattern matches any code, while the *fail* (fail) code pattern matches only failed code. Both patterns leave the context unchanged.

The syntax of *variable binding* ( $(\circ)^{\tau}$ ) and *literal binding* ( $(lit)^{\tau}$ ) code patterns suggest their semantics in the sense that they only match with expressions that when unquoted have type  $\tau$ . Having fulfilled this constraint the former matches any value while the latter matches only quoted literal expressions. Both patterns extend the context with the code value matched.

The variable constant behaves like literal constants (i and b). It matches with code which quoted expression is exactly the variable  $v^{\tau}$ , unchanging the context. The *any bracket* code pattern matches any brackets quoted expression with free variables  $\Gamma^{ff}$ . Given a quoted explicit substitution,  $e[\Theta']$ , the *substitution* code pattern requires  $\Theta$  to be equal to *Theta'* and matches the code pattern *pc* with *e*.

An example of code analysis is the following:

$$\begin{array}{rl} \lambda[| \texttt{if } \#0^{\texttt{bool}} \texttt{ then } \$(\bullet)^{\texttt{int}} \texttt{ else } \$(\bullet)^{\texttt{int}}|] \\ \cdot & \#1^{\texttt{cod}^{\cdot},\texttt{bool}}[True/] \\ & & \lambda^{\texttt{cod}^{\cdot},\texttt{bool}}.[|0|] \{\cdot\} \end{array}$$



This expression takes a code value with type  $cod^{,bool}$  and returns one with type  $cod^{(\cdot)}$ . If the code passed is a quotation of an "if-then-else" expression, with condition  $\#0^{bool}$ , a code with the "then"" subexpression is returned, with a True literal in each occurrence of the variable  $\#0^{bool}$ . Otherwise, the returned value is a code of the literal 0.

# **3.** Static Semantics as an $\Omega$ mega GADT

In this section we will encode the typing judgments of section 2 as  $\Omega$ mega GADTs. A value of each datatype then represents a derivation of the encoded judgment. This ensures that the properties of the static semantics are checked and maintained by the meta-language type system.

The expression judgment  $P; \Gamma \vdash e : \tau$  is represented by the multiple indexed type (Exp p n t). The "past" stack P is tracked by the first index, a nested product type, which contains types of

kind Row \*0 <sup>1</sup>representing type contexts. The next index is a Row \*0 tracking the current context type  $\Gamma$ . Finally, t tracks the term type  $\tau$ .

The  $\Omega$ mega encoding of the rules showed in Figures 1, 3 and 4 is the following:

data Exp ::	*0	~> Row *0 ~> *0 ~> *0 where
ELBool	::	Bool -> Exp p n Bool
ELInt	::	Int -> Exp p n Int
EPair	::	Exppnt -> Exppns
	->	Exp p n (t,s)
EPFst	::	Exppn(t,s) -> Exppnt
EPSnd	::	Exp p n (t,s) -> Exp p n s
EAbs	::	Rep s -> Exp p (RCons s n) t
	->	Exp p n (s->t)
EFix	::	Exp p (RCons t n) t
	->	Exp p n t
EApp		Exp p n (s->t) -> Exp p n s
		Exp p n t
EVar		Var n t -> Rep t
		Exppnt
ECond		Exp p n Bool
		Exp p n t -> Exp p n t
		Exppnt
EBr		Exp (p,Env n) c t -> RepEnv c
		Exp p n (Cod c)
ERun		Exp p n (Cod RNil) -> Exp p n t
		Exppnt
EEsc		Exp p b (Cod n) -> Rep t
		Exp (p, Env b) n t
ESubst		Exp p n (Cod f) -> Subst f fc
		Exp p n (Cod fc)
EAlt		Pat s c -> Exp p {eapp c n} t
		Exp p n (s->t)
	->	Exp p n (s->t)

Each constructor has the structure of a formal judgment. For example, EApp takes two arguments Exp p n (s->t) and Exp p n s. These arguments correspond to the judgments  $P; \Gamma \vdash e_1 :$  $\tau_2 \rightarrow \tau_1$  and  $P; \Gamma \vdash e_2 : \tau_2$ , respectively. If these can be supplied, the constructor results in the type Exp p n t, encoding  $P; \Gamma \vdash e_1$  $e_2 : \tau_2$ .

In EAbs, EVar and EEsc a type must be annotated. This is done by an argument of type Rep t, the parametric type representation defined both by Cheney and Hinze [5] and Baars and Swierstra [2] for dynamic typing:

data Rep::	*0 ~> *0 where
Int	:: Rep Int
Bool	:: Rep Bool
Arr	:: Rep a -> Rep b -> Rep(a -> b)
Prod	:: Rep a -> Rep b -> Rep (a,b)
Cod	:: RepEnv n -> (Rep (Cod n))

These type annotations are used to carry out the run-time type checking in the same way dynamic typing is handled in the works mentioned previously.

The EVar constructor includes the Var n t sub-judgment, where VZ and VS encode the rules Base and Weak of Figure 2.

<sup>1</sup> Row is a kind that classifies list-like data structures at type level, its definition is: kind Row (x::\*1) = RCons x (Row x) | RNil. So Row \*0 is a list of types that classifies values. Observe that a context extension  $\Gamma, \tau$  is represented by the Row constructor (RCons t env).

The stacks of contexts are nested pairs. A type Env, which is indexed by a Row \*0, is used to push a context. This is done because the pair constructor takes only types of kind \*0.

```
data Env :: Row *0 ~> *0 where
EnvNil :: Env RNil
EnvCons :: t -> Env r -> Env (RCons t r)
```

Multi-stage annotations involves expressions with type  $cod^{\Gamma^{f}}$ . The encoding of this type in  $\Omega$  mega has the following definition:

data Cod :: Row \*0 ~> \*0 where
Q :: (forall p. Exp p n t) -> RepEnv n
 -> Cod n
F :: RepEnv n -> Cod n

Because of dynamic typing, it could happen that an expression evaluates to a bad formed code value. For this reason, the type Cod has two constructors: one for well formed quoted expressions and another for failed ones. A well formed code is an expression at level 0, typed in a given environment. A term at level 0 has no escapes at level 0. This is captured by requiring that the past contexts stack is universally quantified. Both in the case of well formed code like for failed code, a representation of the context is passed as an argument. This representation has type RepEnv.

data RepEnv:: Row \*0 ~> \*0 where
 REnvNil :: RepEnv RNil
 REnvCons :: Rep t -> RepEnv r
 -> RepEnv (RCons t r)

This type classifies lists of Rep t and is indexed by a Row \*0. Type RepEnv is also used in the constructor EBr to represent the free variables of the expression.

The substitutions judgment is encoded by the datatype Subst. Like in the Q constructor for Cod, the expression passed to the SSlsh constructor must carry an universally quantified past contexts stack.

```
data Subst :: Row *0 ~> Row *0 ~> *0 where
    SSft :: Rep t -> Subst n (RCons t n)
    SLft :: Subst n c
        -> Subst (RCons t n) (RCons t c)
    SSlsh :: (forall p. Exp p n t)
        -> Subst (RCons t n) n
```

So, the encoding for the explicit substitution example of section 2.2.2 is:

```
(ESubst
 (EBr
 (EApp
 (EVar VZ (Arr (Prod Int Bool) Bool))
 (EPair
 (EVar (VS VZ) Int)
 (EVar (VS VS VZ) Bool)))
 (REnvCons (Arr (Prod Int Bool) Bool)
 (REnvCons Int (REnvCons Bool REnvNil))))
 (SLft (SSlsh (ELInt 9))))
```

In the EAlt constructor we use the *type function* eapp to encode a list append constraint  $(\Gamma', \Gamma'')$ . It can be proven by doing induction on the first argument that this function terminates.

```
eapp :: Row *0 ~> Row *0 ~> Row *0
{eapp RNil ys} = ys
{eapp (RCons x xs) ys} = RCons x {eapp xs ys}
{eapp {eapp xs ys} zs} = {eapp xs {eapp ys zs}}
```

The pattern judgment  $\vdash p : \tau \Rightarrow \Gamma$  is encoded by the datatype (Pat t n).

The constructor function PCod includes a sub-judgment for code patterns. The definition of the type PatCod, representing the code patterns judgment, is the following:

```
data PatCod :: Row *0 ~> Row *0 ~> *0 where
   PCPVar :: Rep t
            -> PatCod f (RCons (Cod f) RNil)
   PCPAny
           :: PatCod f RNil
    . . .
   PCVar
            :: Var vn t -> Rep t
            -> PatCod f RNil
   PCLInt
           :: Int -> PatCod f RNil
    . . .
    PCPair
           :: PatCod f c1
            -> PatCod f c2
            -> PatCod f {eapp c2 c1}
    PCAbs
            :: Rep s -> PatCod (RCons s f) c
            -> PatCod f c
   PCCond
           :: PatCod f c1
            -> PatCod f c2
            -> PatCod f c3
            -> PatCod f {eapp3 c3 c2 c1}
            :: RepEnv fp
   PCBr
            -> PatCod f (RCons (Cod f) RNil)
    PCRun
            :: PatCod f c1 -> PatCod f c2
            -> PatCod f {eapp c2 c1}
   PCSubst :: PatCod fc c -> Subst f fc
            -> PatCod fc c
```

# 4. Dynamic Semantics as an $\Omega$ mega evaluator

Dynamic semantics for the language is given by a big-step semantics written as an evaluation function. The semantics shows that the evaluation of well typed terms doesn't go wrong.

The evaluation function has type  $\text{Exp p n t} \rightarrow \text{Env n} \rightarrow t$ . Given any well typed expression Exp p n t and an environment with shape n, eval returns a value with type t.

```
(x,True) -> Q x renv
                 (x,False) -> F renv
eval (ERun e1 e2) env
            = case (eval e1 env) of
                 Q e REnvNil ->
                     case eqType (getType e)
                                  (getType e2) of
                          Just Eq -> eval e EnvNil
                         Nothing -> eval e2 env
                   -> eval e2 env
eval (ESubst e s) env
            = case (eval e env) of
                 Q eb rb ->
                     case (evalSub s eb rb) of
                          (en,rn) -> Q en rn
                 F rb \rightarrow F (evalSubR s rb)
eval (EAlt p e1 e2) env
             = \setminus v \rightarrow case (evalPat p v env) of
                 Just env2 -> eval e1 env2
                 Nothing -> (eval e2 env) v
```

This function is total excepting for de EEsc case, which is not evaluated. In an expression at level 0 will not be an escape, so the evaluation function must be defined to take expressions at level 0. This could be enforced defining an evaluation function that can only be applied to terms polymorphic in their past.

```
eval0 :: (forall p. Exp p n t) -> Env n -> t
eval0 exp env = eval exp env
```

To avoid infinite loops, the  $\Omega$ mega construct for explicit laziness (lazy) is used in the evaluation of EFix.

## 4.1 Dynamic Type Checking and Building Code

The type checking is implemented by the unification function eqType, which takes two type representations, tests them for structural equality, and possibly returns a proof of their equivalence. Its signature is:

eqType :: Rep a -> Rep b -> Maybe(Equal a b)

During the evaluation of ERun, after a verification that the code is well formed, an unification between the types of the quoted expression e and the exception expression e2 is made. If the unification succeeds, there's a witness that the type of e is the same as e2. So, the expression e is evaluated in the empty environment (static type-checking assures that e is closed). If the unification fails, the expression e2 is evaluated in the environment env. The types of eand e2 are obtained by the type inference function getType, which is based on the typing rules:

getType (ERun e1 e2) = getType e2 getType (EEsc e t) = t getType (EAlt p e1 e2) = getType e2

Observe that type annotations and the explicit type context renv are used in the type inference algorithm.

Evaluating EBr involves evaluating a code template in order to build an expression polymorphic in the past. This is done by the bd function, which is the one defined in [18] with the addition of dynamic type checking. Essentially, the function traverses an expression, generating a copy without embedded escapes at level 0, and a boolean expressing if the code produced is well typed. The CountBr argument counts the brackets surrounding the expression. When a EBr is found, the counter is incremented.

```
data CountBr :: *0 ~> *0 ~> *0 where
    CountBrZ :: a -> CountBr (b,a) c
    CountBrS :: CountBr a b -> CountBr (a,c) (b,c)
bd :: CountBr a z -> Exp a n t -> (Exp z n t,Bool)
bd env (ELInt i) = (ELInt i, True)
. . .
bd env (EPair e1 e2) = let (x1,b1) = (bd env e1)
                             (x2,b2) = (bd env e2)
                        in (EPair x1 x2, b1 && b2)
bd env (EBr e renv)
            let (x,b) = bd (CountBrS env) e
        =
            in (EBr x renv, b)
bd (CountBrZ env) (EEsc e t)
        = case (eval e env) of
            Q x renv ->
                case eqType (getType x) t of
                    Just Eq -> (x,True)
                    Nothing -> (getAny t,False)
             -> (getAny t,False)
bd (CountBrS r) (EEsc e t)
            let (x,b) = bd r e
        =
            in (EEsc x t, b)
```

For (EEsc e t), if brackets' counter is (CountBrZ env), the expression e is evaluated and, if it is well formed and the type is what was expected, the resulting code is spliced. In other case a dummy expression with type t is generated by the function getAny :: Rep t  $\rightarrow$  Exp p n t.

## 4.2 Explicit Substitution

The explicit substitution evaluation is divided into two functions, which apply the substitution to the expression and to the context representation, respectively.

The core of the expression substitution is the one defined by Sheard and Pasalic [21], extended by passing the representation of the source environment.

```
= EVar v t
evalSubE (SLft s) (EVar VZ t) (REnvCons t r)
= EVar VZ t
evalSubE (SLft s) (EVar (VS v) t) (REnvCons tp r)
= evalSubE (SSft tp)
(evalSubE s (EVar v t) r)
(evalSubE s r)
evalSubE (SSft tp) (EVar v t) (REnvCons t r)
= EVar (VS v) t
```

Evaluating the substitution over bracketed expressions implies evaluating a code template with a function, similar to the bd of section 4.1, which traverses the expression and applies the substitution when the *brackets counter* is zero.

To apply a substitution to the Alternation expression we take the following steps. First, we leave the pattern unchanged. Then, similarly as done in the Abstraction expression but in a more general case, we evaluate the effect of the pattern over the substitution and the context representation of the matched expression. Next we apply this new substitution to the matched expression with the new context representation. Finally, we evaluate the original substitution over the alternative expression.

Observe that the decision taken in section 2.3 of only including the extensions of type contexts in pattern judgments simplifies the definition of this operation. For example, the signature of the auxiliar function evalPatS is simply:

```
evalPatS :: (Pat t eout) -> Subst g gp
    -> Subst {eapp eout g} {eapp eout gp}
```

That is, taking a pattern p, with judgment  $\vdash p : \tau_1 \Rightarrow \Gamma'$ , and a substitution  $\Theta$ , with judgment  $\Gamma_1 \vdash \Theta \Rightarrow \Gamma_2$ , evaluating the effect of p over  $\Theta$  results in a substitution  $\Theta'$  with judgment  $\Gamma_1, \Gamma' \vdash \Theta' \Rightarrow \Gamma_2, \Gamma'$ . That way, we isolate the effect of p from  $\Gamma_1$  and  $\Gamma_2$ .

The function that applies the substitution to the context representation is separated in three cases. In the Slash case the first type is removed, in the Shift case the new type is appended at the beginning, and, in the Lift case, the first type is left unchanged and the substitution is applied recursively to the rest of the context.

#### 4.3 Pattern Matching

The evaluation of alternation (EAlt p e1 e2) is done by evaluating the pattern p, and e1 or e2 depending on the result of pattern matching. The pattern matching evaluation function, evalPat, has three arguments: a pattern judgment of type (Pat t eout), a value of type t, to match with the pattern, and an input variable of type Env ein. If pattern matching succeeds, the function returns a value (Just env), being env the extended environment (with type Env eapp eout ein), and e1 is evaluated in this environment. If matching fails, a Nothing value is returned, and e2 is evaluated in the current context.

For example, if i is passed when evaluating the pattern (PLInt i), the same environment passed as argument is returned. On the other hand, evaluating (PVar t) never fails, just returning the current environment extended with the value passed.

In the case of (PPair p1 p2), the pattern p1 is evaluated extending the current environment and then p2 is evaluated extending the environment returned by p1.

The code patterns are evaluated by the function evalCPat.

```
:: (PatCod f eout) -> (Cod f) -> Env ein
evalCPat
            -> Maybe (Env {eapp eout ein})
evalCPat (PCPVar t) e env
    = case e of
        Q v renv ->
            case (eqType (getType v) t) of
                Just Eq -> Just (EnvCons e env)
                Nothing -> Nothing
        _ -> Nothing
evalCPat PCPAny e env = Just env
evalCPat PCPFail e env
    = case e of
        F renv -> Just env
        Q eq renv -> Nothing
evalCPat (PCLit t) e env
    = case e of
        Q (ELInt i) renv ->
            case (eqType Int t) of
                Just Eq -> Just (EnvCons e env)
                Nothing -> Nothing
        Q (ELBool b) renv ->
            case (eqType Bool t) of
                Just Eq -> Just (EnvCons e env)
                Nothing -> Nothing
        _ -> Nothing
evalCPat (PCLInt i) e env
    = case e of
        Q (ELInt v) renv -> if (i==v)
                                then (Just env)
                                else Nothing
        _ -> Nothing
. . .
evalCPat (PCPair p1 p2) e env
    = case e of
```

```
Q (EPair v1 v2) renv ->
            case (evalCPat p1
                  (eval (EBr v1 renv) env) of
                 Just env1 -> evalCPat p2
                     (eval (EBr v2 renv) env) env1
                 Nothing -> Nothing
        _ -> Nothing
. . .
evalCPat (PCAbs tx pb) e env
    = case e of
        Q (EAbs tvx vb) renv ->
            case (eqType tvx tx) of
                 Just Eq -> evalCPat pb
                     (eval (EBr vb
                     (REnvCons tvx renv)) env) env
                 Nothing -> Nothing
        _ -> Nothing
. . .
evalCPat (PCVar v t) e env
    = case e of
        Q (EVar vv vt) renv ->
            case (eqType t vt) of
    Just Eq -> if (eqVar v vv)
                                 then Just env
                                  else Nothing
                 Nothing -> Nothing
        _ -> Nothing
. . .
evalCPat (PCBr r) e env
    = case e of
        Q (EBr ve renv2) renv ->
            case (eqType (Cod r) (Cod renv2)) of
                 Just Eq -> Just env
                 Nothing -> Nothing
        _ -> Nothing
. . .
evalCPat (PCSubst p s) e env
    = case e of
        Q (ESubst ve vs) renv ->
            if (eqSubst s vs)
                 then (evalCPat p
                     (eval (EBr ve renv) env) env)
                 else Nothing
        _ -> Nothing
```

Consider the case of (PCAbs tx pb). If the value passed is a code (Q (EAbs tvx vb) renv) and tx represents the same type than tvx, the code pattern pb is evaluated to match with a quotation of vb with context renv extended by tvx ((REnvCons tvx renv)).

#### 4.4 Soundness

The soundness of a type system with respect to the semantics means that, if a term is well-typed, then its evaluation either returns a value of same type or gives rise to an infinite reduction sequence. In other words, well-typed terms never go wrong. To prove soundness, subject reduction and progress must be proved. The former property means that reduction preserves typing while the latter means that programs which are well-typed are either values or can be further reduced (evaluation never gets stuck).

According to the type of the evaluation function, Exp p n t -> Env n -> t, the evaluation of any expression e satisfying the type judgment  $P; \Gamma \vdash e : \tau$  yields, if it terminates, a value of type  $\tau$ . This means that subject reduction is automatically ensured by  $\Omega$ mega's type system. Concerning progress, observe that every well-typed term of the language always matches one of the clauses of eval. Therefore, if the term is not a value, there is a reduction rule that is applicable to it.

# 5. Related Work

Our language is based on multi-stage languages like MetaML [23, 24, 27, 10, 26] and MetaOCaml [25, 4], with the incorporation of features presented in languages like Template Haskell [20],  $reFL^{ect}$  [8, 9] and  $\nu^{\Box}$  [11, 12, 13] with the aim of supporting intensional analysis in a flexible way.

**Typing** MetaML and MetaOCaml have static type checking, associating a type *code of*  $\tau$  to the quotation of an expression of type  $\tau$ . On the other hand, languages like Template Haskell,  $reFL^{ect}$  and the one proposed in [22] associate a universal type *code* to all quotations. As a consequence, these languages need to perform a dynamic type-checking for generated code, excepting for Template Haskell which performs compile-time code generation. Our language follows the approach of [22]. We perform dynamic type-checking for generated code, avoiding run-time errors by the inclusion of an exception expression in the run construct.

In our language quoted open expressions are represented by annotating the type *code* with a type context, containing the types of the free variables. These variables can be captured by an explicit substitution mechanism provided by the language. This approach is similar to that of  $\nu^{\Box}$ , which uses names to represent free variables in quoted expressions.

**Intensional Analysis** Neither MetaML nor MetaOCaml are proposed as code analyzers, they focus on code generation and its optimization. Taha [23, 24] argued that by introducing  $\beta$  reduction at higher levels and code inspection the property of coherence is violated. Therefore there exists many optimizations that can only be applied to code at stage 0. Moreover, cross-stage persistence, one of the most distinguishing features of these languages, can not be present as well.

In Template Haskell code is represented by an algebraic data type, allowing its inspection. In contrast, our language uses a high-level pattern matching interface to intensional analysis, in the line of  $\nu^{\Box}$  and  $reFL^{ect}$ . In  $\nu^{\Box}$  pattern matching is only defined over the simply typed  $\lambda$ -calculus fragment of the language. Our pattern matching mechanism is similar to the one proposed in  $reFL^{ect}$ .

 $\Omega$ *mega for language design* The use of  $\Omega$ mega for developing the semantics of our language is inspired in the encoding of MetaML done by Sheard in [19].

#### 6. Conclusions

In this paper we presented an homogeneous functional multi-stage language with support for intensional analysis. A pattern matching mechanism was defined as a high-level interface to perform code inspection. The type of quoted expressions reflects the free variables of the expression but not its type, which is inferred at runtime. Although ill-typed quoted expressions can be generated at run-time only well-typed generated code can be evaluated by run. An explicit substitution operator over quoted expressions was included too.

The proposed language may seem impractical due to its type annotations. However, like in [8] and [22], a type annotation algorithm from implicitly typed terms to annotated terms could be defined to avoid this. This algorithm would be essentially an extension of the Hindley-Milner type inference algorithm.

Static and Dynamic Semantics were represented in  $\Omega$  mega by encoding the typing judgments as GADTs and defining a big-step semantics written as an evaluation function, respectively. Since the evaluation function has a case defined for any well-typed term, the  $\Omega$ mega implementation of the semantics showed that the evaluation of well-typed terms doesn't go wrong.

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